

---

Locally Flat Imbeddings of Topological Manifolds

Author(s): Morton Brown

Source: *Annals of Mathematics*, Mar., 1962, Second Series, Vol. 75, No. 2 (Mar., 1962), pp. 331-341

Published by: Mathematics Department, Princeton University

Stable URL: <https://www.jstor.org/stable/1970177>

---

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact [support@jstor.org](mailto:support@jstor.org).

Your use of the JSTOR archive indicates your acceptance of the Terms & Conditions of Use, available at <https://about.jstor.org/terms>



is collaborating with JSTOR to digitize, preserve and extend access to *Annals of Mathematics*

JSTOR

## LOCALLY FLAT IMBEDDINGS OF TOPOLOGICAL MANIFOLDS

BY MORTON BROWN\*

(Received August 14, 1961)

### I. Introduction

Let us say that a topological  $(n - 1)$ -sphere  $\Sigma^{n-1}$  imbedded in the  $n$ -sphere  $S^n$  is *flat* if there is a homeomorphism of  $S^n$  upon itself which carries  $\Sigma^{n-1}$  onto the equator  $S^{n-1}$  of  $S^n$ . The classical result of Schoenflies states that every  $\Sigma^1$  in  $S^2$  is flat. Antoine [1] and Alexander [3] exhibited imbeddings of  $\Sigma^2$  in  $S^3$  which are not flat. These examples can be modified to produce non-flat imbeddings of  $\Sigma^{n-1}$  in  $S^n$  for  $n \geq 3$ . However, Alexander [2] proved that a sufficient condition for  $\Sigma^2$  to be flat in  $S^3$  is that it be a polyhedron (i.e., the union of a finite collection of convex cells).

In view of Alexander's theorem, let us define a compact subset  $X$  of  $S^n$  to be *tame* if there is a homeomorphism of  $S^n$  upon itself carrying  $X$  onto a polyhedron, *semi-locally tame* if there is a homeomorphism of a neighborhood of  $X$  into  $S^n$  carrying  $X$  onto a polyhedron, and *locally tame* if for each point  $x \in X$  there is a neighborhood  $N_x$  of  $x$  in  $S^n$  and a homeomorphism of  $\bar{N}_x$  into  $S^n$  such that the image of  $\bar{N}_x \cap X$  is a polyhedron. Moise [8] proved that a  $\Sigma^2$  in  $S^3$  is tame (and hence flat) if it is semi-locally tame. Bing [4] (and independently Moise [9]) proved that  $\Sigma^2$  is semi-locally tame in  $S^3$  if it is locally tame.

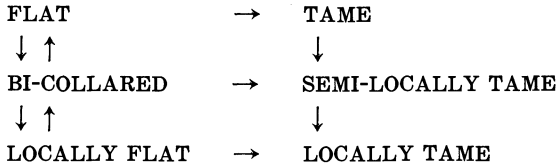
For  $n > 4$  it is still unknown whether a tame  $\Sigma^{n-1}$  in  $S^n$  must be flat. (See however Newman [11] or Theorem 7 of this paper.) Recently, attempts to circumvent this barrier have been successful. Let us define a  $\Sigma^{n-1}$  in  $S^n$  to be *bi-collared*<sup>0</sup> if there is a homeomorphism of some neighborhood of  $\Sigma^{n-1}$  into  $S^n$  carrying  $\Sigma^{n-1}$  onto the equator  $S^{n-1}$  of  $S^n$  and *locally flat* if for each point  $x$  of  $\Sigma^{n-1}$  there is a neighborhood  $N_x$  of  $x$  in  $S^n$  and a homeomorphism of  $N_x$  into  $S^n$  such that the image of  $N_x \cap \Sigma^{n-1}$  lies in  $S^{n-1}$ . In 1959 Mazur [6] proved that a bi-collared  $\Sigma^{n-1}$  is flat if the defining homeomorphism is piecewise linear on some non-empty open set. An important consequence of Mazur's theorem is that a differentially imbedded  $\Sigma^{n-1}$  in  $S^n$  is flat. Of equally great importance was the indication (in view of Mazur's elegant proof) that some important theorems in higher dimensions might be accessible by elementary techniques.

In 1960 Brown [5] proved that every bi-collared  $\Sigma^{n-1}$  in  $S^n$  is flat. Shortly

\* The author holds a National Science Foundation Fellowship.

<sup>0</sup> Mazur calls this "collared". It is also referred to as the "shell hypothesis". We prefer to reserve the term collar for the one sided case.

afterward Morse [10] succeeded in removing the hypothesis of piecewise linearity from Mazur’s argument. One of the consequences of the results in this paper is that a locally flat  $\Sigma^{n-1}$  in  $S^n$  is bi-collared. The following diagram indicates the present status of affairs for  $\Sigma^{n-1}$  in  $S^n$ .



*Statement of results.* The main theorem of this paper is that a manifold with boundary has collared boundary (see §§ II; IV for definitions). From this we derive the result that a two-sided  $(n - 1)$ -manifold imbedded in a locally flat fashion in an  $n$ -manifold is bi-collared. We also give a new proof (originally due to Newman [11]) that a combinatorial  $(n-1)$ -sphere imbedded as a subcomplex of a combinatorial subdivision of the  $n$ -sphere is flat.

The author is indebted to E. A. Michael for numerous helpful discussions. In fact, many aspects of the formulation and proof of Theorem 1 in its present generality are the result of joint work.

## II. Collared subsets

Let  $X$  be a topological space and  $B$  a subset of  $X$ . Then  $B$  is *collared* in  $X$  if there is a homeomorphism  $h$  carrying  $B \times I^1$  onto a neighborhood<sup>2</sup> of  $B$  such that  $h(b, 0) = b$  for all  $b \in B$ . If  $B$  can be covered by a collection of open subsets (relative to  $B$ ) each of which is collared in  $X$ , then  $B$  is *locally collared* in  $X$ . (The most important example of a locally collared subset is the case where  $B$  is the boundary of a manifold with boundary.) If there is a homeomorphism  $h$  carrying  $B \times (-1, 1)$  onto a neighborhood of  $B$  such that  $h(b, 0) = b$  for all  $b \in B$ , then  $B$  is *bi-collared* in  $X$ .<sup>3</sup> Similarly,  $B$  is *locally bi-collared* in  $X$  if  $B$  can be covered by a collection of bi-collared open subsets. (The unit  $(n - 1)$ -sphere of  $E^n$  is bi-collared in  $E^n$ . On the other hand the central circle of a Möbius band is locally bi-collared but not bi-collared.)

**LEMMA 0.** *Let  $B$  be a subset of a topological space  $X$ . A necessary and sufficient condition for  $B$  to be collared in  $X$  is that every homeomorphism  $g: B \rightarrow \rightarrow B^4$  can be “extended” to homeomorphism  $\bar{g}$  of  $B \times I^1$  onto*

<sup>1</sup>  $I^1$  denotes the sect [01].

<sup>2</sup> All neighborhoods will be open.

<sup>3</sup> The empty set will be considered to be both collared and bicollared.

<sup>4</sup> “ $\rightarrow$ ” means “onto”.

a neighborhood of  $B$  in  $X$  such that  $\bar{g}(b, 0) = g(b)$  for each  $b \in B$ .<sup>5</sup>

PROOF. The proof of sufficiency is trivial, for we may take  $g$  to be the identity map. Suppose, on the other hand, that  $B$  is collared in  $X$  and  $g: B \rightarrow B$  is a homeomorphism. By hypothesis there is a homeomorphism  $h$  of  $B \times I'$  onto a neighborhood of  $B$  such that  $h(b, 0) = b, b \in B$ . Let  $g^*: B \times I' \rightarrow B \times I'$  be the homeomorphism defined by  $g^*(b, t) = (g(b), t)$ , and let  $\bar{g}: B \times I' \rightarrow X$  be defined by  $\bar{g} = hg^*$ . Then  $\bar{g}$  is a homeomorphism of  $B \times I'$  onto a neighborhood of  $B$  (i.e.,  $h(B \times I')$ ) and

$$\bar{g}(b, 0) = hg^*(b, 0) = h(g(b), 0) = g(b)$$

In the next lemma we show that if a homeomorphism of  $B \times 0$  into  $X$  can be extended to neighborhood of  $B \times 0$  in  $B \times I'$ , then it can be extended to  $B \times I'$ .

LEMMA 1. Let  $B, X$  be metric spaces, and  $N$  a neighborhood of  $B \times 0$  in  $B \times I'$ . Suppose  $h: N \rightarrow X$  is a homeomorphism of  $N$  onto a neighborhood of  $h(B \times 0)$  in  $X$ . Then there is a homeomorphism  $h': B \times I' \rightarrow X$  such that  $h' | B \times 0 = h | B \times 0$  and  $h'(B \times I')$  is a neighborhood of  $h(B \times 0)$ .

PROOF. Let  $d$  be a metric for  $B$  such that under  $d$  the diameter of  $B$  is less than 1. Let  $D$  be the metric for  $B \times I'$  defined by  $D((x, t), (x', t')) = \max(d(x, x'), |t - t'|)$ . For  $b \in B$  let  $g(b) = D(b, (B \times I') - N)$ . Then  $g$  is a continuous positive real valued function on  $B$ , and for all  $b \in B$  we have  $g(b) < 1$ . Let  $\Gamma: B \times I' \rightarrow N$  be the homeomorphism defined by  $\Gamma(b, t) = (b, tg(b))$ , and let  $h' = h\Gamma$ .

### III. Spindle neighborhoods

Suppose  $Z = B \times I'$  where  $B$  is a metric space. Let  $U$  be an open subset of  $B$  and  $\lambda: \bar{U} \rightarrow [0, 1]$  a map<sup>6</sup> such that  $\lambda(x) = 0$  if and only if  $x \in \bar{U} - U$ . We define the spindle neighborhood  $S(U, \lambda)$  by:

$$S(U, \lambda) = \{(x, t) \in B \times I' \mid (x, 0) \in U, t < \lambda(x)\} .$$

It is easily seen that  $S(U, \lambda)$  is a neighborhood of  $U \times 0$  in  $B \times I'$ , and that the spindle neighborhoods form a neighborhood basis for  $U \times 0$  in  $B \times I'$ . For, suppose  $O$  is an open subset of  $B \times I'$  containing  $U \times 0$ . Let  $D$  be the metric for  $B \times I'$  defined in the proof of Lemma 1. For  $x \in U$  let  $\lambda(x) = \min[D(x, \bar{U} - U), D(x, (B \times I') - O)]$ . Then  $S(U, \lambda) \subset O$ .

The map  $\pi_{S(U, \lambda)}$ . Suppose  $S(U, \lambda)$  is defined as above. We define a map  $\pi_{S(U, \lambda)}: B \times I' \rightarrow B \times I'$  by

<sup>5</sup> A Similar argument proves the corresponding theorem for the bi-collared case.

<sup>6</sup> A "map" is a continuous function.

$$\pi(x, t) = \begin{cases} (x, t), & (x, t) \notin S(U, \lambda) \\ (x, 0), & (x, t) \in S\left(U, \frac{\lambda}{2}\right)^\dagger \\ (x, 2t - \lambda(x)), & (x, t) \in S(U, \lambda) - S\left(U, \frac{\lambda}{2}\right). \end{cases}$$

See Figure 1. In words,  $\pi$  is the identity on the complement of  $S(U, \lambda)$ , collapses  $S(U, (\lambda/2))$  “vertically” onto  $U \times 0$ , and carries the interval  $[(x, (\lambda/2)(x)), (x, \lambda(x))]$  linearly onto  $[(x, 0), (x, \lambda(x))]$  for each  $x$  in  $U$ . Note that  $\pi$  maps  $B \times I' - S(U, (\lambda/2))$  homeomorphically onto  $B \times I'$ .

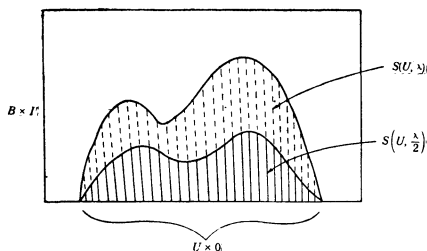


Figure 1

LEMMA 2. Let  $U$  be an open subset of the metric space  $B$ ,  $N$  a neighborhood of  $U \times 0$  in  $B \times I'$ , and  $f$  a homeomorphism of  $\bar{N}$  onto the closure of a neighborhood of  $U \times 0$  such that  $f|_{\bar{U} \times 0} = 1$ . Then there is a homeomorphism  $f': \bar{N} \rightarrow B \times I'$  and a neighborhood  $V$  of  $U \times 0$  in  $N$  such that

(2.1)  $f'|_{(\bar{N} - N)} = f|_{(\bar{N} - N)}$ ,

(2.2)  $f'(\bar{N}) = f(\bar{N})$ ,

(2.3)  $f'|_V = 1$ .

PROOF. (See Figure 2). Let  $S(U, \lambda)$  be a spindle neighborhood of  $U \times 0$  such that  $S(U, \lambda) \subset N \cap f(N)$ . Let  $\pi$  be the associated mapping  $\pi_{S(U, \lambda)}$  and let  $f': \bar{N} \rightarrow B \times I'$  be defined by

$$f'(x) = \begin{cases} x, & x \in \overline{S\left(U, \frac{\lambda}{2}\right)} \\ \pi^{-1}f\pi(x), & x \in \bar{N} - S\left(U, \frac{\lambda}{2}\right). \end{cases}$$

(2.4)  $\pi^{-1}f\pi$  is well defined on  $\bar{N} - S(U, (\lambda/2))$  and carries it homeomorphically onto  $f(\bar{N}) - S(U, (\lambda/2))$ . First notice that  $\pi$  carries  $\bar{N} - S(U, (\lambda/2))$  homeomorphically onto  $\bar{N}$ . In turn,  $f$  carries  $\bar{N}$  homeomorphically onto  $f(\bar{N})$ . Now  $\pi^{-1}$  carries  $B \times I' - S(U, (\lambda/2))$  and is the identity on the complement of  $S(U, \lambda)$ .

<sup>†</sup>  $(\lambda/2)$  is defined by  $(\lambda/2)(x) = (1/2)\lambda(x)$ .

Since  $S(U, \lambda) \subset f(\bar{N})$ ,  $\pi^{-1}$  carries  $f(\bar{N})$  homeomorphically onto

$$f(\bar{N}) - S(U, (\lambda/2)) .$$

(2.5)  $f'$  is a well defined map. Suppose  $y \in \overline{S(U, (\lambda/2))} \cap (\bar{N} - S(U, (\lambda/2))) = (\bar{U} - U) \cup \{(x, t) \mid x \in U, t = (\lambda/2)(x)\}$ . If  $y \in \bar{U} - U$ ,  $\pi^{-1}f\pi(y) = y$  since  $\pi$  and  $f$  are the identity on  $\bar{U} - U$ . Suppose  $y = (x, (\lambda/2)(x))$ ,  $x \in U$ . Then

$$\pi^{-1}f\pi(y) = \pi^{-1}f\pi\left(x, \frac{\lambda}{2}(x)\right) = \pi^{-1}f(x, 0) = \pi^{-1}(x, 0) = \left(x, \frac{\lambda}{2}(x)\right) = y .$$

(2.6)  $f'$  is a homeomorphism. It is evident from (2.4) and the definition of  $f'$  that  $f'$  is 1 - 1. On the other hand  $f'(\bar{N} - S(U, (\lambda/2)))$  and  $f'(\overline{S(U, (\lambda/2))})$  are closed subsets of  $f'(\bar{N})$ . Finally,  $f'$  is a homeomorphism on each of its domains of definition.

Evidently (2.2) is satisfied. Choosing  $V = S(U, (\lambda/2))$  we see that (2.3) is satisfied. Finally, let  $y \in \bar{N} - N$ . Since  $S(U, \lambda) \subset N \cap f(N)$ , neither  $y$  nor  $f(y)$  is in  $S(U, \lambda)$ . Furthermore  $\pi$  is the identity on the complement of  $S(U, \lambda)$ . Hence

$$f'(y) = \pi^{-1}f\pi(y) = \pi^{-1}f(y) = f(y) .$$

This completes the proof of Lemma 2.

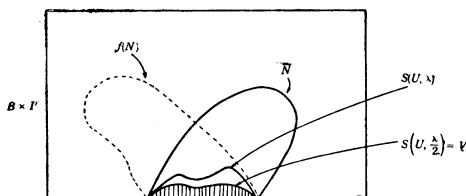


Figure 2

LEMMA 3. Let  $X, B$  be metric spaces and  $h: B \rightarrow X$  a homeomorphism. Suppose  $U_1, U_2$  are open subsets of  $B$ ,  $K$  is a closed (subset relative to  $B$ ) of  $U_1 \cap U_2$ , and  $U_1 \cup U_2 = B$ . Suppose also that for  $i = 1, 2$ ,  $h|U_i$  can be extended to a homeomorphism  $h_i$  of  $U_i \times I'$  onto a neighborhood of  $h(U_i)$  in  $X$  such that  $h_i|U_i \times 0 = h|U_i$ . Then there is a homeomorphism  $h'_2: U_2 \times I' \rightarrow h_2(U_2 \times I')$  such that  $h'_2|U_2 \times 0 = h|U_2$  and  $h'_2|V = h_1|V$  for some neighborhood  $V$  of  $K \times 0$  in  $(U_1 \cap U_2) \times I'$ . (See Figure 3).

PROOF. Let  $U$  be an open subset of  $U_1 \cap U_2$  such that  $K \subset U \subset \bar{U} \subset U_1 \cap U_2$ . Then there is a spindle neighborhood  $N$  of  $U \times 0$  in  $B \times I'$  such that  $\bar{N} \subset h_2^{-1}(h_1(U_1 \times I') \cap h_2(U_2 \times I'))$ . Hence the map  $f: \bar{N} \rightarrow B \times I'$  defined by  $f(y) = h_1^{-1}h_2(y)$  is a well defined homeomorphism,  $f|U \times 0 = 1$  and  $f(N)$  is open in  $B \times I'$ . Applying Lemma 2 we obtain a homeomorphism  $f': \bar{N} \rightarrow B \times I'$  and a neighborhood  $V$  of  $U \times 0$ <sup>8</sup> such that:

<sup>8</sup>  $V$  can be chosen as a subset of  $(U_1 \cap U_2) \times I'$ .

$$(3.1) \quad f'|(\bar{N} - N) = f|(\bar{N} - N) ,$$

$$(3.2) \quad f'(\bar{N}) = f(\bar{N}) ,$$

$$(3.3) \quad f'|V = 1 .$$

Define  $h'_2: U_2 \times I' \rightarrow X$  by

$$(3.4) \quad h'_2(x) = \begin{cases} h_1 f'(x) , & x \in \bar{N} \cap (U_2 \times I') , \\ h_2(x) , & x \in (U_2 \times I') - N . \end{cases}$$

Observe that  $h'_2$  is a homeomorphism on each of the domains of definition and that the domains are closed in  $U_2 \times I'$ .

(3.5)  $h'_2$  is well defined. Suppose  $x \in [\bar{N} \cap (U_2 \times I')] \cap [(U_2 \times I') - N] = (\bar{N} - N) \cap (U_2 \times I')$ . Then since  $x \in \bar{N} - N$ ,  $h_1 f'(x) = h_1 f(x) = h_1 h_1^{-1} h_2(x) = h_2(x)$ .

$$(3.6) \quad \begin{aligned} h'_2(\bar{N} \cap (U_2 \times I')) &= h_2(\bar{N} \cap (U_2 \times I')) . \\ h'_2(\bar{N} \cap (U_2 \times I')) &= h_1 f'(\bar{N} \cap (U_2 \times I')) , \\ &= h_1 f(\bar{N} \cap (U_2 \times I')) , \\ &= h_1 h_1^{-1} h_2(\bar{N} \cap (U_2 \times I')) , \\ &= h_2(\bar{N} \cap (U_2 \times I')) . \end{aligned}$$

(3.7)  $h'_2$  is a homeomorphism. It follows from (3.6) and (3.4) that

$$h'_2(\bar{N} \cap (U_2 \times I')) \cap h'_2((U_2 \times I') - N) = h_2(\bar{N} \cap (U_2 \times I')) \cap h_2((U_2 \times I') - N) = \emptyset .$$

Hence  $h'_2$  is 1-1. On the other hand the image of each domain is closed in  $h'_2(U_2 \times I')$  (again by (3.6) and (3.4) and the fact that  $h'_2$  is a homeomorphism on each domain).

Suppose  $x \in V$ . Then since  $f'|V = 1$ ,  $h'_2(x) = h_1 f'(x) = h_1(x)$ . Finally, suppose  $x \in U_2$ . If  $(x, 0) \notin N$  then  $h'_2(x, 0) = h_2(x, 0) = h(x)$ . If  $(x, 0) \in N$  then, since  $N$  is a spindle neighborhood of  $U \times 0$ ,  $(x, 0) \in V$ . Hence  $h'_2(x, 0) = h_1 f'(x, 0) = h_1(x, 0) = h(x)$ .

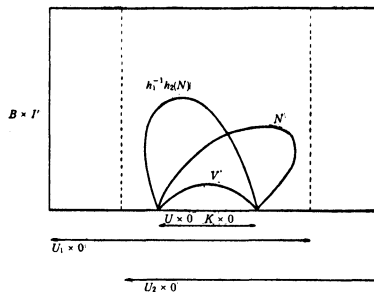


Figure 3

LEMMA 4. Let  $B$  be a subset of a metric space  $X$ . Suppose  $B = U_1 \cup U_2$  where  $U_1, U_2$  are open in  $B$  and  $U_1 \cap U_2 \neq \emptyset$ . If both of  $U_1, U_2$  are col-

lared in  $X$  then  $B$  is collared in  $X$ .

PROOF. Since  $B$  is a normal space there are open subsets  $O_1, O_2$  of  $B$  such that  $\bar{O}_1 \subset U_1, \bar{O}_2 \subset U_2$  and  $B = O_1 \cup O_2$ . Let  $K = \bar{O}_1 \cap \bar{O}_2$ . Then  $K$  is a closed subset rel  $B$  of  $U_1 \cap U_2$ . By the hypothesis there exist homeomorphisms  $h_i (i = 1, 2)$  of  $U_i \times I'$  onto a neighborhood of  $U_i$  in  $X$  such that  $h_i(b, 0) = b, b \in U_i$ . Applying Lemma 3 (with  $h$  the identity map) we get a homeomorphism  $h'_2: U_2 \times I' \rightarrow h_2(U_2 \times I')$  and a neighborhood  $V$  of  $K \times 0$  in  $(U_1 \cap U_2) \times I'$  such that  $h'_2|V = h_1|V$  and  $h'_2|U_2 \times 0 = h_2|U_2 \times 0$ .

Obviously  $(O_1 - O_2) \cap \overline{O_2 - O_1} = \overline{O_1 - O_2} \cap (O_2 - O_1) = 0$ , i.e.,  $O_1 - O_2$  and  $O_2 - O_1$  are completely separated in  $X$ . Since  $X$  is a metric space there exist disjoint open subsets  $W_1, W_2$  of  $X$  such that

$$\begin{aligned} O_1 - O_2 &\subset W_1 \subset h_1(U_1 \times I') \\ O_2 - O_1 &\subset W_2 \subset h'_2(U_2 \times I'). \end{aligned}$$

Let  $V_1, V_2$  be spindle neighborhoods of  $(O_1 - \bar{O}_2) \times 0, (O_2 - \bar{O}_1) \times 0$  respectively such that  $h_1(V_1) \subset W_1, h'_2(V_2) \subset W_2$ . Then  $V_i$  is open in  $B \times I'$ ,  $h(V_1) \cap h'_2(V_2) = 0$ , and  $B \times 0 \subset V_1 \cup V_2 \cup V$ . Let  $f: V_1 \cup V_2 \cup V \rightarrow X$  be defined by

$$f(x) = \begin{cases} h_1(x), & x \in V_1, \\ h'_2(x), & x \in V_2, \\ h_1(x) = h'_2(x), & x \in V. \end{cases}$$

Clearly  $f$  is a well defined homeomorphism and  $f(b, 0) = b, b \in B$ . Note that  $V_1 \cup V_2 \cup V$  is a neighborhood of  $B \times 0$  in  $B \times I'$ . For  $V_1 \supset (O_1 - \bar{O}_2) \times 0, V_2 \supset (O_2 - \bar{O}_1) \times 0$  and  $V \supset (\bar{O}_1 \cap \bar{O}_2) \times 0$ . In view of Lemma 1 the proof is complete.

We are now in a position to prove the main result of this section.

**THEOREM 1.** *A locally collared subset of a metric space is collared.*

PROOF. Suppose  $B$  is a locally collared subset of the metric space  $X$ . Let us say that an open subset of  $B$  has property C if it is collared in  $X$ .

(i) *C is hereditary, i.e., if  $U$  has property C and  $V$  is an open subset of  $U$  then  $V$  has property C.*

If  $V$  is empty it has property C by definition. Suppose  $V \neq 0$ . Then  $U \neq 0$ , and there is a homeomorphism  $h_u$  of  $U \times I'$  onto a neighborhood of  $U$  in  $X$  such that  $h_u(x, 0) = x, x \in U$ . Let  $h_v = h_u|V \times I'$ .

(ii) *C is closed under disjoint union, i.e., if  $\{U_\alpha\}_{\alpha \in A}$  is a pairwise disjoint collection of open subsets of  $B$  each having property C, then  $\bigcup_{\alpha \in A} U_\alpha$  has property C.*

Suppose  $h_\alpha$  is the homeomorphism of  $U_\alpha \times I'$  onto a neighborhood of  $U_\alpha$  in  $X$  such that  $h_\alpha(x, 0) = x, x \in U_\alpha$ . Since  $X$  is a metric space there is a



pairwise disjoint collection  $\{W_\alpha\}_{\alpha \in A}$  of open subsets of  $X$  such that  $U_\alpha \subset W_\alpha \subset h_\alpha(U_\alpha \times I')$ ,  $\alpha \in A$ .<sup>9</sup> Let  $O = \bigcup_{\alpha \in A} h_\alpha^{-1}(W_\alpha)$ . Then  $O$  is an open subset of  $B \times I'$  and  $O \supset \bigcup_{\alpha \in A} \{U_\alpha \times 0\}$ . Let  $h: O \rightarrow X$  be the homeomorphism defined by  $h|(U_\alpha \times I') \cap O = h_\alpha|(U_\alpha \times I') \cap O$ . In view of Lemma 1,  $\bigcup_{\alpha \in A} \{U_\alpha\}$  is collared.

(iii) Suppose  $U_1, U_2$  are open subsets of  $B$  each having property C. Then  $U_1 \cup U_2$  has property C.

If  $U_1 \cap U_2 = 0$ , (iii) is a consequence of (ii).

If  $U_1 \cap U_2 \neq 0$ , (iii) is a consequence of Lemma 4.

In a metric space, a property of open sets satisfying conditions (i)–(iii), and which is satisfied locally, is possessed by all open subsets [7]. In particular,  $B$  itself has property C. This completes the proof of Theorem 1.

The following is a restatement of Theorem 1 into a theorem about extensions of homeomorphisms (cf. Lemma 0).

**COROLLARY.** Let  $X, B, B \times I'$  be metric spaces and  $h: B \times 0 \rightarrow X$  be a homeomorphism. Suppose  $B$  can be covered by a collection of open subsets  $\{U_\alpha\}_{\alpha \in A}$  such that for each  $\alpha \in A$ ,  $h|U_\alpha \times 0$  has a homeomorphic extension  $h_\alpha$  mapping  $U_\alpha \times I'$  onto a neighborhood of  $h(U_\alpha \times 0)$ . Then  $h$  has a homeomorphic extension mapping  $B \times I'$  onto a neighborhood of  $h(B \times 0)$ .

#### IV. Applications to manifolds

An  $n$ -manifold with boundary is a connected metrizable topological space such that each point has a closed neighborhood homeomorphic to an  $n$ -cell. As usual the boundary consists of the subset of points which do not have (open) neighborhoods homeomorphic to  $E^n$ . If the boundary is empty, the manifold with boundary will be called a manifold. Suppose  $X$  is an  $n$ -manifold, and  $B$  is a subset of  $X$  which is an  $r$ -manifold under the relative topology. Then  $B$  is an  $r$ -submanifold of  $X$ . Suppose, in particular, that  $r = n - 1$ . Then  $B$  is two-sided in  $X$  if there is a connected neighborhood  $N$  of  $B$  which is separated by  $B$ .<sup>10</sup> Finally  $B$  is locally flat in  $X$  if for each point  $b \in B$  there is a neighborhood  $N_b$  of  $b$  in  $X$  and a homeomorphism  $h_b: N_b \rightarrow E^n$  such that  $h_b(N_b \cap B) \subset E^{n-1} \subset E^n$ .

**REMARK.** In the definition of locally flat there is no loss of generality in requiring that  $h_b(N_b) = E^n$  and  $h_b(N_b \cap B) = E^{n-1}$ . The definition is equivalent to that given in § I. The following two lemmas are easily established, and we state them without proof.

**LEMMA 5.** The boundary of an  $n$ -manifold with boundary is locally

<sup>9</sup> Let  $W_\alpha = h_\alpha(U_\alpha \times I') \cap \{x \in X \mid D(x, U_\alpha) < D(x, \bigcup_{\beta \neq \alpha} U_\beta)\}$ .

<sup>10</sup> In this case  $N - B$  has two components.

collared.

LEMMA 6. *A submanifold  $B^{n-1}$  of a manifold  $X^n$  is locally flat in  $X^n$  if and only if it is locally bi-collared in  $X^n$ .*

THEOREM 2. *The boundary of an  $n$ -manifold with boundary is collared.*  
 This follows directly from Theorem 1 and Lemma 5.

THEOREM 3. *Let  $B^{n-1}$  be a locally flat two-sided  $(n - 1)$ -submanifold of a manifold  $X^n$ . Then  $B^{n-1}$  is bi-collared in  $X^n$ .*

PROOF. Let  $N$  be a connected neighborhood of  $B$  in  $X$  which is separated by  $B$ , and let  $Q, R$  be the components of  $N - B$ .<sup>10</sup> Since  $B$  is locally flat in  $N, Q \cup B$  and  $R \cup B$  are manifolds with boundary  $B$ . It follows from Theorem 2 that  $B$  is collared in each. Hence  $B$  is bi-collared in  $X$ .

REMARK. The case of a one sided manifold will be treated in a forthcoming paper by E.A.Michael.

THEOREM 4. *Let  $\Sigma^{n-1}$  be locally flat in  $S^n$ . Then  $\Sigma^{n-1}$  is flat in  $S^n$ .*

PROOF. This follows from Theorem 3 above and Theorem 5 of [5].

### V. Applications to polyhedral manifolds

DEFINITIONS.<sup>11</sup> A 0-star sphere  $\Sigma^0$  is a pair of points. A 0-star cell  $\mathcal{J}^0$  is a single point. For  $n > 0$  an  $n$ -star sphere  $\Sigma^n$  ( $n$ -star cell  $\mathcal{J}^n$ ) is a finite complex homeomorphic to the  $n$ -sphere  $S^n$  ( $n$ -cell  $I^n$ ) and such that the link<sup>12</sup> of each vertex is a  $\Sigma^{n-1}$  ( $\Sigma^{n-1}$  or  $\mathcal{J}^{n-1}$ ). An  $n$ -star manifold  $M^n$  (manifold with boundary  $N^n$ ) is a locally finite complex such that the link of each vertex is a  $\Sigma^{n-1}$  ( $\Sigma^{n-1}$  or  $\mathcal{J}^{n-1}$ ). A 0-star manifold (manifold with boundary) is an even (odd) numbered set of points.

A combinatorial  $n$ -cell  $I^n$  ( $n$ -sphere  $S^n$ ) is a finite complex which has a linear subdivision isomorphic to some linear subdivision of an  $n$ -simplex (the boundary of an  $(n + 1)$ -simplex). A combinatorial  $n$ -manifold ( $n$ -manifold with boundary) is a locally finite complex such that the link of each vertex is an  $S^{n-1}$  ( $S^{n-1}$  or  $I^{n-1}$ ).

REMARK. The reader is referred to [11] for a more complete discussion of star manifolds. Combinatorial manifolds are special cases of star manifolds. If every combinatorial manifold homeomorphic to an  $n$ -sphere is a combinatorial  $n$ -sphere (and this has been proved for  $n \neq 4, 5, 7$  by Smale [12]), then all  $n$ -star spheres are combinatorial  $n$ -spheres). Unfortunately, the only proof we know of this implication requires induction on  $n$ ; hence even with Smale's result,  $n$ -star spheres are known to be combinatorial

<sup>11</sup> These definitions are due to Newman [11].

<sup>12</sup> The link of a vertex  $v$  in a complex  $K$  consists of the union of the closed simplexes  $\sigma$  of  $K$  not containing  $v$  but such that the join of  $v$  and  $\sigma$  is a simplex of  $K$ . We denote it by  $\text{lk}(v, K)$ .  $\text{St}(v, K) \equiv$  star of  $v$  in  $K$  is the join of  $v$  with  $\text{lk}(v, K)$ .

spheres only for  $n \geq 3$  (and combinatorial manifolds for  $n \geq 4$ ).

**THEOREM 5.** *Let  $M^{n-1}$  be an  $(n-1)$ -star manifold imbedded as a subcomplex of an  $n$ -star manifold  $M^n$ . Then  $M^{n-1}$  is locally flat in  $M^n$ .*

**PROOF.** The theorem is evidently true for  $n = 1$ . Inductively, suppose we have proven the theorem for  $n = k$ . Let  $M^k$  be a  $k$ -star manifold imbedded as a subcomplex of the  $(k+1)$ -star manifold  $M^{k+1}$ . Let  $v$  be a vertex of  $M^k$ . Then  $\text{lk}(v, M^k)$  is a  $\Sigma^{k-1}$  imbedded as a subcomplex of  $\text{lk}(v, M^{k+1})$  which is a  $\Sigma^k$ . By the induction hypothesis  $\text{lk}(v, M^k)$  is locally flat in  $\text{lk}(v, M^{k+1})$ . Applying Theorem 4 we obtain a homeomorphism  $h: \text{lk}(v, M^{k+1}) \rightarrow S^k$  such that  $h(\text{lk}(v, M^k))$  is the equator  $S^{k-1}$  of  $S^k$ . We may think of  $S^k$  as the unit sphere of  $E^{k+1}$  with  $S^{k-1}$  in the hyperplane  $E^k$ . Since  $\text{St}(v, M^{k+1})$  is the join of  $v$  and  $\text{lk}(v, M^{k+1})$  and, since the unit ball  $B^{k+1}$  is the join of the origin and  $S^k$ ,  $h$  can be extended in the obvious way to a homeomorphism  $\bar{h}: \text{St}(v, M^{k+1}) \rightarrow B^{k+1}$ . Furthermore,  $\text{St}(v, M^k)$  is the join of  $v$  with  $\text{lk}(v, M^k)$ . Hence  $\bar{h}(\text{St}(v, M^k)) \subset E^k$ . Since each point of  $M^k$  lies in the interior of the star of some vertex of  $M^k$  we have established that  $M^k$  is locally flat in  $M^{k+1}$ . The following theorem is an immediate consequence of Theorem 5 and Theorem 3.

**THEOREM 6.** *Let  $M^{n-1}$  be an  $(n-1)$ -star manifold imbedded as a 2-sided subcomplex of an  $n$ -star manifold  $M^n$ . Then  $M^{n-1}$  is bi-collared in  $M^n$ .*

**THEOREM 7.** (Newman). Let  $\Sigma^{n-1}$  be an  $(n-1)$ -star sphere imbedded as a subcomplex of an  $n$ -star triangulation of the  $n$ -sphere  $S^n$ . Then  $\Sigma^{n-1}$  is flat in  $S^n$ .

**QUESTION.** Suppose  $K$  is bi-collared  $(n-1)$ -polyhedron in  $E^n$ . Is  $K$  a manifold? The answer is affirmative if and only if the link of every vertex in a triangulated  $n$ -manifold is an  $(n-1)$ -manifold. A negative answer would give a counter example to a very weak form of the Hauptvermutung for spheres.

UNIVERSITY OF MICHIGAN AND INSTITUTE FOR ADVANCED STUDY

#### REFERENCES

1. L. ANTOINE, *Sur l'homeomorphie de deux figures et de leurs voisinages*, J. Math. Pures. Appl., 86 (1921), 221-235.
2. J. W. ALEXANDER, *On the subdivision of 3-space by a polyhedron*, Proc. Nat. Acad. Sci. U.S.A., 10 (1924), 6-8.
3. ———, *An example of a simply connected surface bounding a region which is not simply connected*, Proc. Nat. Acad. Sci. U.S.A., 10 (1924), 8-10.
4. R. H. BING, *Locally tame sets are tame*, Ann. of Math., 59 (1954), 145-158.
5. M. BROWN, *A proof of the generalized Schoenflies theorem*, Bull. Amer. Math. Soc., 66 (1960), 74-76.

6. B. MAZUR, *On imbeddings of spheres*, Bull. Amer. Math. Soc., 65 (1959), 59-65.
7. E. A. MICHAEL, *Local properties of topological spaces*, Duke Math. J., 21 (1954), 163-171.
8. E. E. MOISE, *Affine structures in 3-manifolds* (V), Ann. of Math., 56 (1952), 96-114.
9. ———, *Affine structures in 3-manifolds* (VIII), Ann. of Math., 59 (1954), 159-170.
10. M. MORSE, *A reduction of the Schoenflies extension problem*, Bull. Amer. Math. Soc., 66 (1960), 113-115.
11. M. NEWMAN, *On the division of euclidean  $n$ -space by topological  $n - 1$  spheres*, Proc. Royal Soc. London, 257 (1960), 1-12.
12. S. SMALE, *Differentiable and combinatorial structures on manifolds*, Ann. of Math., 74 (1960), 498-502.