

## SUMMARY OF PART I: RINGS, FIELDS AND IDEALS

### 1. BASICS ON RINGS AND FIELDS

**Definition 1.1.** A **ring** is a (non-empty) set with two operations:

$$\begin{aligned} R \times R &\rightarrow R \\ (a, b) &\mapsto a + b && \text{(addition)} \\ (a, b) &\mapsto a \cdot b && \text{(multiplication)} \end{aligned}$$

such that the following holds:

- (i) With respect to addition,  $R$  is an abelian group (i.e., there is an identity, an inverse; associativity and commutativity holds);
- (ii)  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$  associativity for multiplication;
- (iii)  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ ,  $(a + b) \cdot c = (a \cdot c) + (b \cdot c)$  distributivity.

**Note:** •  $R$  is necessarily non-empty (due to (i): a group has  $\geq 1$  elements).

- denote (as usual)  $(a \cdot b) + c$  by  $a \cdot b + c$  (“multiplication comes first”);
- denote  $a \cdot b$  by  $ab$ .

**Definition 1.2.** Let  $R$  be a ring.

- (1) If  $R$  has an element  $\mathbb{1}_R$  such that  $a \cdot \mathbb{1}_R = \mathbb{1}_R \cdot a = a$  for all  $a \in R$ , then  $\mathbb{1}_R$  is called a (multiplicative) **identity** for  $R$ .
- (2) If  $ab = ba \forall a, b \in R$ , then  $R$  is called **commutative**.

**Example 1.3:** (1)  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  are rings (in fact, commutative, with identity).

- (2) For  $n \geq 2$ ,  $\mathbb{Z}_n$  is not only a group, but moreover it can be given the structure of a commutative ring with identity (we denote as usual  $\bar{a} = a + n\mathbb{Z}$  for  $a \in \mathbb{Z}$ ).

For any  $a, b \in \mathbb{Z}$ , the addition is defined by  $\bar{a} + \bar{b} = \overline{a + b}$  and the multiplication is defined by  $\bar{a} \cdot \bar{b} = \overline{ab}$ .

- (3) With our definition,  $R = \{0\}$  can be viewed as a ring (with the obvious operations  $0 + 0 = 0$ ,  $0 \cdot 0 = 0$ ); in fact, it is not only commutative but has a (strange) identity: the zero element.
- (4) Matrix rings.
- (5) Polynomial rings: let  $R$  be a ring and  $x$  a variable. Then  $R[x]$  becomes a ring, the polynomial ring in one variable with coefficients in  $R$ .

**Proposition 1.4.** Let  $R$  be a ring, and let  $a, b \in R$ . Then

- (i)  $-(-a) = a$ ;
- (ii)  $0_R \cdot a = 0_R = a \cdot 0_R$ ;
- (iii)  $a \cdot (-b) = (-a) \cdot b = -a \cdot b$ ;  $(-a) \cdot (-b) = ab$ ;
- (iv) suppose  $R$  contains an identity  $\mathbb{1}_R$ , then  $(-\mathbb{1}_R) \cdot a = a \cdot (-\mathbb{1}_R) = -a$ .

**Definition 1.5.** A **subring** of a ring  $R$  is a subset  $S \subset R$  which is a ring with the induced addition and multiplication of  $R$ , i.e.

- (i)  $0_R \in S$  (in particular  $S \neq \emptyset$ );
- (ii)  $a, b \in S$  implies  $a -_R b \in S$  (here  $a -_R b := a +_R (-b)$ );
- (iii)  $a, b \in S$  implies  $a \cdot_R b \in S$ .

**Note:** Conditions (i)+(ii) amount to imposing that  $(S, +)$  is a subgroup of the abelian group  $(R, +)$ .

- Examples 1.6:**
- 1) For any  $n \in \mathbb{N}$ , the set  $n\mathbb{Z} = \{nk \mid k \in \mathbb{Z}\}$ , together with the inherited addition and multiplication, becomes a subring of  $\mathbb{Z}$ ; it is commutative, and it does not have an identity if  $n > 1$ .
  - 2)  $\mathbb{Z}[x]$  is a subring of  $\mathbb{Q}[x]$ .
  - 3)  $\mathbb{R}[x]_1 := \{a + bx \mid a, b \in \mathbb{R}\}$  is *not* a subring of  $\mathbb{R}[x]$ .
  - 4)  $\mathbb{Z}[i] := \{a + bi \mid a, b \in \mathbb{Z}\}$  (with  $i^2 = -1$ ), the *Gaussian integers*, form a subring of the very special ring  $\mathbb{C}$  of complex numbers (in fact, this is a very special ring, called a *field* (see below)).

**Definition 1.7.** Let  $R$  and  $S$  be rings. A **homomorphism of rings** from  $R$  to  $S$  is a map  $\varphi : R \rightarrow S$  satisfying

- (i) for any  $a, b \in R$  we have  $\varphi(a +_R b) = \varphi(a) +_S \varphi(b)$ ;
- (ii) for any  $a, b \in R$  we have  $\varphi(a \cdot_R b) = \varphi(a) \cdot_S \varphi(b)$ ;

**Examples 1.8:** For  $n \geq 2$  we know that the reduction map

$$\begin{aligned} \varphi : \mathbb{Z} &\rightarrow \mathbb{Z}_n \\ a &\mapsto \bar{a} := \{a + kn \mid k \in \mathbb{Z}\} \end{aligned}$$

is a homomorphism of groups. It is in fact even a homomorphism of rings, since we also have

$$\varphi(a \cdot_{\mathbb{Z}} b) = \overline{a \cdot b} = \bar{a} \cdot \bar{b} = \varphi(a) \cdot_{\mathbb{Z}_n} \varphi(b).$$

**Note:** Some authors require, in case both  $R$  and  $S$  have an identity, that a ring homomorphism  $\varphi : R \rightarrow S$  respect the identity, i.e.,  $\varphi(\mathbb{1}_R) = \mathbb{1}_S$ . This is not guaranteed, as the following example shows:  $\varphi : \mathbb{Z}_2 \rightarrow \mathbb{Z}_6$ , sending  $\bar{1}$  to  $\bar{3}$  (and  $\bar{0}$  necessarily to  $\bar{0}$ ).

**Definition 1.9.** Let  $R$  and  $S$  be rings. A map  $\varphi : R \rightarrow S$  is a homomorphism of rings if it satisfies (i) and (ii), where

- (i)  $\varphi(a + b) = \varphi(a) + \varphi(b)$  for all  $a, b \in R$ ;
- (ii)  $\varphi(a \cdot b) = \varphi(a) \cdot \varphi(b)$  for all  $a, b \in R$ .

**Examples 1.10:**

- 1) The following map is a homomorphism of rings

$$\begin{aligned} \varphi : \mathbb{Z}[i] &\rightarrow \mathbb{Z}_2, \\ a + ib &\mapsto \overline{a + b}. \end{aligned}$$

- 2) “Specialisation homomorphism”: let  $S$  be a commutative ring,  $R$  a subring of  $S$  (necessarily commutative). For any  $a \in S$  the map  $\varphi_a : R[x] \rightarrow S$ , sending  $f(x)$  to  $f(a)$ , is a homomorphism of rings.
- 3) In particular,  $\varphi : \mathbb{Z}[x] \rightarrow \mathbb{C}$ , sending  $f(x)$  to  $f(i)$ , is a homomorphism of rings. (This is far from being surjective; but it is also not injective: take  $f(x) = x^2 + 1$ .)
- 4) Let  $\varphi : R \rightarrow S$  and  $\psi : S \rightarrow T$  be ring homomorphisms. Then the composition of the two,  $\psi \circ \varphi : R \rightarrow T$  (note the order), is again a ring homomorphism.

- Definition 1.11.** (i) A homomorphism of rings  $\varphi : R \rightarrow S$  is called an **isomorphism** if  $\varphi$  is both injective and surjective (as a map between sets).
- (ii) The **kernel** and the **image** of a homomorphism of rings  $\varphi : R \rightarrow S$  are defined by  $\ker(\varphi) = \{a \in R \mid \varphi(a) = 0_S\} \subset R$  and  $\text{im}(\varphi) = \{\varphi(a) \mid a \in R\} \subset S$ .

**Example 1.12:** (Example 1.9 revisited) The homomorphism of rings  $\varphi : \mathbb{Z}[i] \rightarrow \mathbb{Z}_2$ ,  $\varphi(a + ib) = \overline{a + b}$ , is surjective ( $\varphi(0) = \overline{0}$  and  $\varphi(1) = \overline{1}$ ), but not injective: we compute the obstruction to being injective.

$$\begin{aligned} \ker(\varphi) &= \{a + ib \in \mathbb{Z}[i] \mid \overline{a + b} = \overline{0} \text{ in } \mathbb{Z}_2\} \\ &= \{a + ib \in \mathbb{Z}[i] \mid a + b = 2k \text{ for some } k \text{ in } \mathbb{Z}\} \\ &\subset \{2k - b + ib \mid b, k \text{ in } \mathbb{Z}\} \\ &= \{((-1 - i)k + b)(-1 + i) \mid b, k \text{ in } \mathbb{Z}\} \quad [\text{use } 2 = (-1 - i)(-1 + i)] \\ &\subset \{\gamma(-1 + i) \mid \gamma \text{ in } \mathbb{Z}[i]\}. \end{aligned}$$

The reverse inclusion  $\{\gamma(-1 + i) \mid \gamma \in \mathbb{Z}[i]\} \subset \ker(\varphi)$  also holds:

$$\varphi(\gamma(-1 + i)) = \varphi(\gamma)\varphi(-1 + i) = \varphi(\gamma) \cdot \overline{0} = \overline{0} \quad \forall \gamma \in \mathbb{Z}[i].$$

**Proposition 1.13.** A ring homomorphism  $\varphi : R \rightarrow S$  is injective  $\Leftrightarrow \ker(\varphi) = \{0_R\}$ .

**Definition 1.14.** Let  $R$  be a ring.

- (i)  $R$  is called an **integral domain** if  $R$  is commutative, has an identity  $\mathbb{1}_R \neq 0_R$  and if for all  $a, b \in R$  one has

$$ab = 0_R \Rightarrow a = 0_R \text{ or } b = 0_R.$$

- (ii)  $R$  is called a **field** if  $R$  is commutative, has an identity  $\mathbb{1}_R \neq 0_R$ , and if each  $a \in R - \{0_R\}$  has a multiplicative inverse, i.e.

$$\forall a \in R - \{0_R\} \exists b \in R \text{ such that } ab = \mathbb{1}_R = ba.$$

**Proposition 1.15.** (i) A field is in particular an integral domain.

- (ii) “Cancellation”: let  $R$  be an integral domain, let  $a, b, c \in R$  with  $ab = ac$  and  $a \neq 0_R$ . Then  $b = c$ . [In words: A non-zero  $a$  can be cancelled.]

**Examples 1.16:** 1)  $\mathbb{Z}$  is an integral domain, but no field.

2)  $\mathbb{Z}[i]$  ( $i^2 = -1$ ) is an integral domain (no field): it is a subring of  $\mathbb{C}$  (which is commutative), so it inherits commutativity; furthermore,  $\mathbb{1}_{\mathbb{Z}[i]} = 1 + 0 \cdot i \neq 0 + 0 \cdot i = 0_{\mathbb{Z}[i]}$ ; finally  $ab = 0$  implies either  $a = 0$  or  $b = 0$ .

3) The polynomial rings  $\mathbb{Z}[x]$  and  $\mathbb{Q}[x]$  are both integral domains, but no fields.

4)  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are fields.

5)  $\mathbb{Z}_n$  is a field if (and only if)  $n$  is a prime number.

**Remark 1.17:** In a field  $F$ , we can perform “division by  $a$ ” for any non-zero  $a \in F$ . Also we can do linear algebra for vector spaces over  $F$ : all the familiar notions like dimension, basis, linear (in-)dependence, determinants or invertibility of a matrix make sense.

**Example 1.18:** In  $M_2(\mathbb{R})$ , the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  has an inverse if and only if its determinant  $ad - bc$  is non-zero, in which case its inverse has the form  $\frac{1}{ad - bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$ .

**Definition 1.19.** Let  $R$  be a ring with identity  $1 \neq 0$ . Then  $R^* = \{a \in R \mid \exists b \in R \text{ such that } ab = ba = 1\}$  is called the set of **units** of  $R$ .

**Notation.** For  $a \in R^*$ , the (unique!) element  $b \in R$  such that  $ab = ba = 1$  is denoted by  $a^{-1}$ .

**Examples 1.20:**

- 1)  $\mathbb{Z}^* = \{-1, 1\}$ . [Note that this is different from  $\mathbb{Z} - \{0\}$ .]
- 2)  $\mathbb{Z}[i]^* = \{\pm 1, \pm i\}$ .
- 3) Let  $n \geq 2$  be an integer. then  $\mathbb{Z}_n^* = \{\bar{a} \in \mathbb{Z}_n \mid \gcd(a, n) = 1\}$ .
- 4) Let  $F$  be a field. Then  $F^* = F - \{0\}$ .
- 5) For a field  $F$ , the units in  $M_n(F)$  (the ring of  $(n \times n)$ -matrices with coefficients in  $F$ ) are the elements with non-zero determinant.

**Definition 1.21.** Let  $R$  be a commutative ring with identity  $1 \neq 0$ . Then  $a$  **divides**  $b$ , denoted  $a \mid b$ , if and only if  $\exists c \in R : ac = b$ .

**Example 1.22:** In  $\mathbb{Z}[i]$  we want to find all elements dividing a given  $\gamma \in \mathbb{Z}[i]$ . Important tool: the **norm map**  $N : \mathbb{Z}[i] \rightarrow \mathbb{Z}$ , sending  $a + bi$  to  $a^2 + b^2$ . It is multiplicative (i.e.  $N(\alpha\beta) = N(\alpha)N(\beta)$ ) and it transfers divisibility in  $\mathbb{Z}[i]$  into divisibility in  $\mathbb{Z}$ :

$$(\alpha \mid \gamma \text{ in } \mathbb{Z}[i]) \Rightarrow (N(\alpha) \mid N(\gamma) \text{ in } \mathbb{Z}).$$

[The reverse direction does *not* hold in general!]

In this way, the problem is reduced to two simpler problems: 1) to check divisibility in  $\mathbb{Z}$  (there are only few candidates  $\alpha$  left for which  $N(\alpha)$  divides the integer  $N(\gamma)$ ), and 2) to test these candidates one by one whether they indeed can be multiplied by a number in  $\mathbb{Z}[i]$  to give that integer  $N(\gamma)$ .

**Note:** Divisibility is not changed when we multiply by units: let  $\varepsilon$  be a unit in the commutative ring  $R$ , and  $\alpha, \beta \in R$ . Then

$$\alpha \mid \beta \Leftrightarrow \varepsilon\alpha \mid \beta \Leftrightarrow \alpha \mid \varepsilon\beta.$$

## 2. POLYNOMIAL RINGS OVER A FIELD

For a field  $F$  and a variable  $x$ , the elements of  $F[x]$  have the form  $a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$  (for some  $n \in \mathbb{N} \cup \{0\}$ ) and  $a_i \in F, i = 0, \dots, n$ .

**Definition 2.1.** The **degree**  $\deg(f(x))$  of a non-zero polynomial  $f(x) = a_n x^n + \dots + a_0 \in F[x]$  with  $a_n \neq 0$  is defined as  $n$ , the largest index  $j$  such that  $a_j \neq 0$ . We call  $a_n$  the **leading coefficient** of  $f(x)$ , and we call  $f(x)$  **monic** if its leading coefficient is equal to 1.

For  $f(x) = 0$ , we put  $\deg(f(x)) = -\infty$ .

**Proposition 2.2.** Let  $F$  be a field. Then  $F[x]$  is an integral domain, and  $\deg(f(x)g(x)) = \deg(f(x)) + \deg(g(x))$ .

**Proposition 2.3.** (Division algorithm)

Let  $F$  be a field and  $f(x), g(x) \in F[x]$  with  $f(x) \neq 0$ .

Then there are unique elements  $q(x)$  and  $r(x)$  in  $F[x]$  with  $\deg(r(x)) < \deg(f(x))$  and  $g(x) = q(x)f(x) + r(x)$ .

**Example 2.4:** For  $f(x) = x^3 + x + 1$  and  $g(x) = x^5 + 2x^4 + x^2 + 3$  in  $\mathbb{Q}[x]$ , we get from dividing  $g(x)$  by  $f(x)$ :

$$g(x) = (x^2 + 2x - 1)f(x) - 2x^2 - x + 4,$$

with  $q(x) = x^2 + 2x - 1$  and  $r(x) = -2x^2 - x + 4$  of degree 2 ( $< \deg(g(x)) = 5$ ).

**Definition 2.5.** Let  $R$  be a commutative ring and  $f(x) \in R[x]$ . An element  $a \in R$  is called a **root** of  $f(x)$  if  $f(a) = 0$ .

**Example 2.6:** In  $R = \mathbb{Z}_6$ ,  $f(x) = x^2 + \bar{3}x + \bar{2}$  has 4 roots:  $\bar{1}, \bar{2}, \bar{4}$  and  $\bar{5}$ . (We can write  $f(x) = (x + \bar{1})(x + \bar{2}) = (x - \bar{1})(x - \bar{2})$ .)

**Proposition 2.7.** Let  $F$  be a field,  $f(x) \in F[x]$  and  $a \in F$ .

Then  $a$  is a root of  $f(x) \Leftrightarrow x - a$  divides  $f(x)$  in  $F[x]$ .

**Example 2.8:** 1) One of the roots of  $x^3 - \bar{1}$  in  $\mathbb{Z}_5$  is  $\bar{1}$ . Dividing it by  $x - \bar{1}$  gives  $x^3 - \bar{1} = (x - \bar{1})(x^2 + x + \bar{1})$ . Since the second factor has no roots in  $\mathbb{Z}_5$  (e.g., by trial and error),  $\bar{1}$  is a so-called **simple** root of  $x^3 - \bar{1}$  in  $\mathbb{Z}_5$ .

2) One of the roots of  $x^3 - \bar{1}$  in  $\mathbb{Z}_3$  is also  $\bar{1}$ , but here we find  $x^3 - \bar{1} = (x - \bar{1})(x - \bar{1})(x - \bar{1})$  in  $\mathbb{Z}_3[x]$ , and  $\bar{1}$  is a **multiple** (more precisely, a 3-fold) root of  $x^3 - \bar{1}$  in  $\mathbb{Z}_3$ .

**Corollary 2.9.** If  $F$  is a field and  $f(x) \in F[x]$  is of degree  $n \geq 1$ , then  $f(x)$  has at most  $n$  roots in  $F$ .

**Examples 2.10:** 1) (Cf. Example 2.8)  $x^3 - \bar{1}$  has only one root in  $\mathbb{Z}_5$ .

2)  $x^2 - 2$  in  $\mathbb{Q}[x]$  has no roots in  $\mathbb{Q}$  ( $\pm\sqrt{2} \notin \mathbb{Q}$ ).

3)  $x^2 - 2$  in  $\mathbb{R}[x]$  has two roots ( $\pm\sqrt{2} \in \mathbb{R}$ ).

4) (Cf. Example 2.6)  $x^2 + \bar{3}x + \bar{2}$  in  $\mathbb{Z}_6[x]$  has four roots (no counterexample to 2.9 since  $\mathbb{Z}_6$  is not a field).

5)  $x^2 + \bar{3}x + \bar{2}$  in  $\mathbb{Z}_5[x]$  has only two roots ( $\bar{3}, \bar{4}$ ) (as it should by 2.9 since  $\mathbb{Z}_5$  is a field).

**Definition 2.11.** Let  $F$  be a field,  $f(x), g(x) \in F[x]$ . Then  $d(x) \in F[x]$  is called a **greatest common divisor** of  $f(x)$  and  $g(x)$  if

(i)  $d(x) \mid f(x)$  and  $d(x) \mid g(x)$  and

(ii) any  $e(x) \in F[x]$  which divides both  $f(x)$  and  $g(x)$  also divides  $d(x)$ .

**Example 2.12:** Let  $f(x) = x^3 + x^2 + \bar{2}x + \bar{2}$ ,  $g(x) = x^3 + \bar{2}x^2 + x + \bar{2}$  in  $\mathbb{Z}_3[x]$ . We perform division with remainder:

$$\begin{aligned} g(x) &= \bar{1} \cdot f(x) + (x^2 + \bar{2}x), \\ (1) \quad f(x) &= (x + \bar{2}) \cdot (x^2 + \bar{2}x) + (x + \bar{2}), \\ (x + \bar{2}) &= x(x + \bar{2}) + 0. \end{aligned}$$

Therefore we have  $\gcd(f(x), g(x)) = x + \bar{2}$ . (It is already monic.)

**Theorem 2.13.** Let  $F$  be a field and  $f(x), g(x) \in F[x]$ . Then there exists a gcd  $d(x)$  of  $f(x)$  and  $g(x)$ . It is unique up to multiplication by elements in  $F^*$ .

If  $f(x)$  and  $g(x)$  are not both 0, then we can compute a gcd of  $f(x)$  and  $g(x)$  using the Euclidean algorithm. We can find, using iterated substitution,  $A(x)$  and  $B(x)$  in  $F[x]$  such that  $d(x) = A(x)f(x) + B(x)g(x)$ .

**Example 2.14:** (Example 2.12 cont'd) We have seen that  $x + \bar{2}$  is a gcd of  $f(x) = x^3 + x^2 + \bar{2}x + \bar{2}$  and  $g(x) = x^3 + \bar{2}x^2 + x + \bar{2}$  in  $\mathbb{Z}_3[x]$ . Using the second and the first line in (1), we find

$$x + \bar{2} = f(x) - (x + \bar{2})(x^2 + \bar{2}x) = f(x) - (x + \bar{2})(g(x) - f(x)) = xf(x) - (x + \bar{2})g(x).$$

**Definition 2.15.** Let  $F$  be a field. Then  $f(x)$  in  $F[x]$  is called **irreducible** if

1)  $\deg(f(x)) \geq 1$  (i.e.,  $f(x) \neq 1$  and  $f(x)$  is not a unit).

- 2) If  $f(x) = g(x) \cdot h(x)$  with  $g(x)$  and  $h(x)$  in  $F[x]$ , then  $f(x)$  or  $g(x)$  is in  $F^*$  (i.e.,  $g(x)$  or  $h(x)$  has degree 0).

Otherwise  $f(x)$  is called **reducible**.

$f(x)$  is called **prime** if, for any  $g(x), h(x) \in F[x]$ ,

$$f(x) \mid g(x)h(x) \Rightarrow (f(x) \mid g(x) \text{ or } f(x) \mid h(x)).$$

**Example 2.16:** Checking irreducibility for general polynomials of small degree:

- $\deg(f(x)) = 1$ . Then  $f(x)$  is irreducible.
- $\deg(f(x)) = 2$ . Suppose  $f(x) = g(x)h(x)$  in  $F[x]$ , then  $2 = \deg(f(x)) = \deg(g(x)) + \deg(h(x)) = 0 + 2$  or  $= 1 + 1$  or  $= 2 + 0$ . Therefore  $f(x)$  is reducible if and only if the second case  $1 + 1$  can occur, i.e., if and only if  $f(x)$  can be written as a product of two polynomials of degree 1, i.e., if and only if  $f(x)$  has a root in  $F$ .
- $\deg(f(x)) = 3$ . Suppose  $f(x) = g(x)h(x)$  in  $F[x]$ , then  $3 = \deg(f(x)) = \deg(g(x)) + \deg(h(x)) = 0 + 3$  or  $= 1 + 2$  or  $2 + 1$  or  $= 3 + 0$ . Therefore  $f(x)$  is reducible if and only if one of the two cases  $1 + 2$  or  $2 + 1$  can occur, i.e., if and only if  $f(x)$  is divisible by a polynomial of degree 1, i.e., if and only if  $f(x)$  has a root in  $F$ .
- $\deg(f(x)) = 4$ .  $f(x)$  is reducible if and only if one of the three cases  $1 + 3$ ,  $2 + 2$  or  $3 + 1$  can occur, i.e., if and only if  $f(x)$  has a root in  $F$  or  $f(x)$  is a product of two quadratic factors.

**Examples 2.17:** Checking irreducibility for specific polynomials of small degree:

- 1)  $x^2 + 1$  is irreducible in  $\mathbb{R}[x]$ , since  $\deg(x^2 + 1) = 2$  and it has no roots in  $\mathbb{R}$ .
- 2)  $x^2 + 1$  is reducible in  $\mathbb{C}[x]$ , since it has roots in  $\mathbb{C}$  (in fact,  $\pm i$ ).
- 3)  $x^2 - 2$  is irreducible in  $\mathbb{Q}[x]$ , since it is of degree 2 and has no roots in  $\mathbb{Q}$ .
- 4)  $x^2 - 2$  is reducible in  $\mathbb{R}[x]$ , since it has roots in  $\mathbb{R}$  (in fact,  $\pm\sqrt{2}$ ).
- 5)  $x^3 - 2x + 2$  has odd degree and must therefore have a root in  $\mathbb{R}$ . Therefore it is reducible in  $\mathbb{R}[x]$ .
- 6)  $x^3 - 2x + 2$  is irreducible in  $\mathbb{Q}[x]$  since it has degree 3 and no root in  $\mathbb{Q}$ .
- 7)  $x^4 + 2x^2 + 1$  has no roots in  $\mathbb{R}$ , but it is nevertheless reducible in  $\mathbb{R}[x]$  since it factors as  $(x^2 + 1)^2$ .

**Proposition 2.18.** Let  $f(x) = a_n x^n + \dots + a_0$  be in  $\mathbb{Z}[x]$  of degree  $n \geq 1$ . If  $b/c \in \mathbb{Q}$  is a root of  $f(x)$  such that  $\gcd(b, c) = 1$ , then necessarily  $c \mid a_n$  and  $b \mid a_0$ . In particular, if  $a_n = \pm 1$ , then all the roots of  $f(x)$  in  $\mathbb{Q}$  must in fact belong to  $\mathbb{Z}$ .

**Example 2.19:** The roots of  $f(x) = x^2 - 2x + 2$  in  $\mathbb{Q}$ , if they exist, must lie in  $\{\pm 1, \pm 2\}$ . Substituting shows that none of them is a root. Therefore  $f(x)$  has no root in  $\mathbb{Q}$  (and since it is furthermore of degree  $\leq 3$ , it is irreducible in  $\mathbb{Q}[x]$ ).

**Proposition 2.20.** Let  $F$  be a field and  $f(x) \in F[x]$  be irreducible. Then  $f(x)$  is prime.

**Theorem 2.21.** Let  $F$  be a field, and let  $f(x)$  be in  $F[x]$  of degree at least 1. Then

- (Existence)  $f(x) = g_1(x) \cdots g_s(x)$  for some  $g_j(x)$  which are irreducible in  $F[x]$ ;
- (Uniqueness) if  $f(x) = h_1(x) \cdots h_t(x)$  for some  $h_j(x)$  which are irreducible in  $F[x]$ , then necessarily  $s = t$  and—after renumbering the  $h_j(x)$  if necessary—we have  $g_j(x) = c_j \cdot h_j(x)$  for some  $c_j \in F^*$ .

- Examples 2.22:**
- 1) Let  $F = \mathbb{Q}$  and  $f(x) = 3x^3 + x^2 + 6x + 2 = (x + \frac{1}{3})(3x^2 + 6)$ . The linear (i.e., of degree 1) factor  $x + \frac{1}{3}$  is irreducible; but also the second factor  $3x^2 + 6$  is irreducible as it has degree 2 and has no root in  $\mathbb{Q}$ . We have also other decompositions, like  $f(x) = (3x + 1)(x^2 + 2)$ , whose factors can be written according to the theorem as  $c_1(x + \frac{1}{3})$  and  $c_2(3x^2 + 6)$  for some  $c_j \in \mathbb{Q}^*$  (in fact, we find  $c_1 = 3$  and  $c_2 = \frac{1}{3}$ ).
  - 2) Let  $f(x) = x^4 + x^3 + 2x^2 + 4x + 2 \in \mathbb{Q}[x]$ . Candidate roots are  $\pm 1, \pm 2$ . A quick check shows that  $-1$  is indeed a root, and  $f(x) = (x + 1)(x^3 + 2x + 2)$ . The second factor is irreducible since it is of degree  $\leq 3$  and has no root in  $\mathbb{Q}$ . (The first factor is irreducible, since it is of degree 1.)
  - 3) Let  $f(x) = x^4 - 4$  in  $\mathbb{Q}[x]$ . Then candidate roots are  $\pm 1, \pm 2, \pm 4$ . A quick check shows that none of them are roots. We cannot yet conclude irreducibility, though, since there is still the possibility that  $f(x)$  decomposes into two (necessarily irreducible) quadratic factors—and this indeed holds:  $f(x) = (x^2 + 2)(x^2 - 2)$ .
  - 4) Let  $f(x) = x^3 - \bar{1}$  in  $\mathbb{Z}_5[x]$ . One checks that  $\bar{1}$  is a root, and that the second factor in the decomposition  $x^3 - \bar{1} = (x - \bar{1})(x^2 + x + \bar{1})$  is also irreducible (it has no root in  $\mathbb{Z}_5$  and is of degree  $\leq 3$ ).

**Remark 2.23:** Let  $F$  be a field. If  $f(x) \in F[x]$  is of degree at least one, then we could also write  $f(x) = c \cdot g_1(x) \cdots g_k(x)$  with  $c \in F^*$  the leading coefficient of  $f(x)$ , and where all  $g_j(x)$  are monic and irreducible in  $F[x]$ .

This decomposition is unique, up to permutation of the  $g_j(x)$ .

**Lemma 2.24.** *Let  $f(x)$  be in  $\mathbb{Z}[x]$ ,  $n \geq 2$  an integer. Then reducing the coefficients modulo  $n$ , i.e., the map*

$$\begin{aligned} \varphi_n : \mathbb{Z}[x] &\rightarrow \mathbb{Z}_n[x] \\ f(x) = a_m x^m + \dots + a_0 &\mapsto \bar{f}(x) := \bar{a}_m x^m + \dots + \bar{a}_0 \end{aligned}$$

is a ring homomorphism.

**Theorem 2.25.** (Gauss lemma) *Let  $f(x) \in \mathbb{Z}[x]$  have degree  $\geq 1$ . Suppose  $f(x) = g(x)h(x)$  with  $g(x), h(x) \in \mathbb{Q}[x]$ .*

*Then already  $f(x) = \tilde{g}(x)\tilde{h}(x)$  with  $\tilde{g}(x), \tilde{h}(x) \in \mathbb{Z}[x]$  and  $\deg(\tilde{g}(x)) = \deg(g(x))$ ,  $\deg(\tilde{h}(x)) = \deg(h(x))$ . More precisely, there exists an  $a \in \mathbb{Q}^*$  such that  $a \cdot g(x) \in \mathbb{Z}[x]$  and  $a^{-1} \cdot h(x) \in \mathbb{Z}[x]$ .*

- Examples 2.26:**
- 1) The quadratic polynomial  $f(x) = 2x^2 + 7x + 3$  which can be decomposed over  $\mathbb{Q}$  as  $(x + \frac{1}{2})(2x + 6)$  has a decomposition in  $\mathbb{Z}[x]$  given by  $f(x) = (2x + 1)(x + 3)$ .
  - 2) Factorize  $x^4 + 4$  in  $\mathbb{Q}[x]$ . It has no roots in  $\mathbb{Q}$ , so either it is irreducible or it factorises as a product of two quadratics (without roots in  $\mathbb{Q}$ ).

Make the “Ansatz”  $x^4 + 4 = (Ax^2 + Bx + C)(Dx^2 + Ex + F)$  with  $A, B, \dots, F \in \mathbb{Q}$ . By the Gauss lemma, we can find a factorisation of the same type with  $A, B, \dots, F \in \mathbb{Z}$ .

Multiplying out and comparing coefficients of the different monomials  $x^r$  ( $r = 0, \dots, 4$ ) gives us conditions on the integers  $A, \dots, F$ . A short calculation then gives indeed a factorization

$$x^4 + 4 = (x^2 + 2x + 2)(x^2 - 2x + 2).$$

**Proposition 2.27.** (*Criterion for irreducibility in  $\mathbb{Z}[x]$* )

Let  $f(x) \in \mathbb{Z}[x]$  be non-constant. Let  $p$  be a prime number such that  $\bar{f}(x) \in \mathbb{Z}_p[x]$  has the same degree as  $f(x)$ .

If  $\bar{f}(x)$  is irreducible in  $\mathbb{Z}_p[x]$  then  $f(x)$  is irreducible in  $\mathbb{Q}[x]$ .

**Examples 2.28:** 1)  $f(x) = 3x^2 + 7x + 13$ . Take  $p = 2$ :  $\bar{f}(x) = x^2 + x + \bar{1} \in \mathbb{Z}_2[x]$ . The latter is irreducible in  $\mathbb{Z}_2[x]$  as it has degree 2 and neither  $\bar{0}$  nor  $\bar{1}$  are roots. Furthermore  $\deg(f(x)) = \deg(\bar{f}(x)) = 2$ .

Therefore  $f(x)$  is irreducible in  $\mathbb{Q}[x]$ .

2)  $f(x) = 3x^2 + 2x$ , take  $p = 3$ . Then  $\bar{f}(x) = \bar{2}x$  is irreducible in  $\mathbb{Z}_3[x]$ , as it has degree 1. But  $f(x) = x(3x + 2)$  is *not* irreducible. [Note that  $\deg(\bar{f}(x)) < \deg(f(x))$ .]

**Proposition 2.29.** (*Eisenstein's [irreducibility] criterion*)

Let  $f(x) = a_n x^n + \dots + a_0 \in \mathbb{Z}[x]$ ,  $a_n \neq 0$ ,  $n \geq 1$ . If there is a prime  $p \in \mathbb{Z}$  with

$$p \mid a_0, p \mid a_1, \dots, p \mid a_{n-1}, \text{ but } p \nmid a_n \text{ and } p^2 \nmid a_0,$$

then  $f(x)$  is irreducible in  $\mathbb{Q}[x]$ .

**Examples 2.30:** 1)  $f(x) = x^n - 2$ , for  $n \in \mathbb{N}$ , is irreducible in  $\mathbb{Q}[x]$ , by Eisenstein's criterion for  $p = 2$ .

2) Let  $p$  be prime. Then  $f(x) = x^{p-1} + x^{p-2} + \dots + x + 1$  is irreducible in  $\mathbb{Q}[x]$ . [Use:  $f(x)$  irreducible  $\Leftrightarrow f(x+1)$  irreducible; then, writing  $f(x) = \frac{x^p - 1}{x - 1}$  gives

$$\begin{aligned} f(x+1) &= \frac{(x+1)^p - 1}{(x+1) - 1} = \frac{(x^p + \binom{p}{1}x^{p-1} + \dots + \binom{p}{p-1}x + 1) - 1}{x} \\ &= x^{p-1} + \binom{p}{1}x^{p-2} + \dots + \binom{p}{p-1}. \end{aligned}$$

Now apply Eisenstein's criterion for the prime  $p$ .]

### 3. IDEALS AND QUOTIENT RINGS

**Definition 3.1.** Let  $R$  be a ring. A subset  $I$  in  $R$  is called an **ideal** if the following three conditions hold:

- (i)  $0_R \in I$ ;
- (ii) if  $r$  and  $s$  are in  $I$ , then also  $r - s \in I$ ;
- (iii) if  $r \in I$  and  $a \in R$ , then  $r \cdot a \in I$  and  $a \cdot r \in I$ .

**Note:** In particular,  $I$  is a subring of  $R$  (can think of it as a "black hole": it absorbs everything which comes near it...).

**Remark 3.2:** If  $R$  has an identity  $\mathbb{1}_R$ , and if an ideal  $I \subset R$  contains  $\mathbb{1}_R$ , then necessarily  $I = R$ . Similarly, if  $I$  contains any unit, then  $I = R$ .

**Examples 3.3:** (1)  $R = \mathbb{Z}$ . Then any subgroup under addition is either  $\{0\}$  or of the form  $n\mathbb{Z}$  ( $n = 1, 2, \dots$ ). All of them are ideals, and any ideal (which is in particular a subgroup) of  $\mathbb{Z}$  is of this form. (For  $n = 1$  we get the full ring.)

- (2) "Trivial ideals":  $\{0\}$  is an ideal,  $R$  is also an ideal (for any ring  $R$ ).
- (3)  $R = F$  a field. Its only ideals are  $\{0\}$  and  $R$  (any  $r \neq 0$  is a unit).

- Examples 3.4:**
- 1)  $(a) = \{ra \mid r \in R\}$  has a *single* generator and is called a **principal ideal**.
  - 2)  $(a_1, a_2) = \{r_1a_1 + r_2a_2 \mid r_1, r_2 \in R\}$ . Sometimes this can be written simpler, e.g.,  $(15, 21)_{\mathbb{Z}} = (3)_{\mathbb{Z}}$ .
  - 3) All ideals in  $\mathbb{Z}$  are principal (cf. Example 3.3 (1)).

**Proposition 3.5.** *Let  $\varphi : R \rightarrow S$  be a ring homomorphism. Then  $\ker(\varphi)$  is an ideal.*

- Examples 3.6:**
- 1) (Cf. Example 1.11)  $\varphi : \mathbb{Z}[i] \rightarrow \mathbb{Z}_2$ ,  $\varphi(a + bi) = \overline{a + b}$ , is a homomorphism of rings, with  $\ker(\varphi) = \{\gamma(-1 + i) \mid \gamma \in \mathbb{Z}[i]\} = (-1 + i)$ , a (principal) ideal in  $\mathbb{Z}[i]$ .
  - 2)  $\varphi : \mathbb{Z}[i] \rightarrow \mathbb{Z}_{37}$ , sending  $a + bi$  to  $\overline{a + 6b}$ , is a ring homomorphism. Since  $\overline{6}^2 = -1$  in  $\mathbb{Z}_{37}$ , the number  $\overline{6}$  reflects the crucial property of the number  $i$  in  $\mathbb{Z}[i]$ . Then  $\ker(\varphi) = (37, 6 - i) = \{\alpha \cdot 37 + \beta(6 - i) \mid \alpha, \beta \in \mathbb{Z}[i]\}$ .

**Example 3.7:** (Example 3.6, 2), revisited) There is a simpler description of the kernel, since  $(37, 6 - i) = (6 - i)$  (note that  $37 = (6 - i)(6 + i)$  already lies in the ideal  $(6 - i)$ ).

**Proposition 3.8.** *Let  $F$  be a field. Then all ideals of  $F[x]$  are principal. More precisely, the ideals of  $F[x]$  are given by  $(0)$ ,  $(1)$  and  $(f(x))$  for  $\deg(f(x)) \geq 1$ .*

*Moreover, we have the inclusion of ideals*

$$(f(x)) \subset (g(x)) \quad \text{iff} \quad g(x) \mid f(x) \in F[x]$$

*and equality of ideals*

$$(f(x)) = (g(x)) \quad \text{iff} \quad f(x) = c \cdot g(x) \in F[x] \text{ for some } c \in F^*.$$

[In particular, each non-zero ideal of  $F[x]$  has a unique monic generator.]

Let  $R$  be a ring and  $I \subset R$  an ideal. The set of cosets  $\{a + I \mid a \in R\}$  not only forms a group, the **quotient group**  $R/I$ , but in fact even becomes a ring.

The multiplication of cosets is given, for  $a, b \in R$ , as

$$(a + I)(b + I) = a \cdot b + I.$$

**Definition 3.9.** *For an ideal  $I$  in a ring  $R$ , the map  $\pi : R \rightarrow R/I$ , sending  $a \in R$  to its coset  $a + I$ , is called the **canonical projection** (along  $I$ ), and  $R/I$  is called the **quotient ring** of  $R$  with respect to  $I$ .*

- Proposition 3.10.**
- 1)  $R/I$  is indeed a ring. [So the name is justified.]
  - 2) The canonical projection  $\pi : R \rightarrow R/I$  is a ring homomorphism. Moreover, it is surjective, and  $I$  is its kernel.

**Note:** Computation rules in  $R/I$ :

- $\overline{a + b} = \overline{a} + \overline{b}$ ,  $\overline{a \cdot b} = \overline{a} \cdot \overline{b}$ .
- $\overline{a} = \overline{b} \Leftrightarrow a - b \in I$  (in particular  $\overline{a} = \overline{0} \Leftrightarrow a \in I$ ).

- Examples 3.11:**
- 1) Let  $I = (-1 + i)_{\mathbb{Z}[i]} \subset \mathbb{Z}[i]$ . Then  $\overline{-6 + i} = \overline{-i}$  in  $\mathbb{Z}[i]/I$ .
  - 2) Let  $I = (x^2 + x + 1)_{\mathbb{Q}[x]} \subset \mathbb{Q}[x]$ . Then  $\overline{x + 2} \neq \overline{2x^2}$  in  $\mathbb{Q}[x]/(x^2 + x + 1)$ .

**Theorem 3.12.** (First Isomorphism Theorem for Rings) *Let  $\varphi : R \rightarrow S$  be a surjective ring homomorphism. Then there is an **isomorphism** of rings*

$$\begin{aligned} R/\ker(\varphi) &\rightarrow S, \\ a + \ker(\varphi) &\mapsto \varphi(a). \end{aligned}$$

**Example 3.13:** Define  $\varphi : \mathbb{R}[x] \rightarrow \mathbb{C}$ , sending  $f(x)$  to  $f(i)$  (where  $i^2 = -1$ ). We can check the following properties.

- $\varphi$  is a homomorphism of rings.
- $\varphi$  is surjective: any  $a + bi \in \mathbb{C}$  ( $a, b \in \mathbb{R}$ ) can be obtained as  $\varphi(a + bx)$ .
- $\ker(\varphi) = (x^2 + 1)$ .

Now the above corollary implies that we have

$$\mathbb{R}[x]/(x^2 + 1) \cong \mathbb{C}.$$

**Proposition 3.14.** Let  $\varphi : R \rightarrow S$  be a ring homomorphism. Let  $I \subset R$  be an ideal and  $\pi : R \rightarrow R/I$  the canonical projection.

If  $I \subset \ker(\varphi)$  then there exists a unique map  $\bar{\varphi} : R/I \rightarrow S$  such that  $\bar{\varphi} \circ \pi = \varphi$ , and  $\bar{\varphi}$  is in fact a ring homomorphism.

We can write this statement effectively with the help of a diagram:

$$\begin{array}{ccc} R & & \\ \pi \downarrow & \searrow \varphi & \\ R/I & \xrightarrow{\bar{\varphi}} & S \end{array}$$

**Examples 3.15:** 1)  $\varphi : \mathbb{Z} \rightarrow \mathbb{Z}_n (= \mathbb{Z}/(n)\mathbb{Z})$ ,  $n \geq 2$ , sending  $a$  to  $\bar{a}$ , is a homomorphism of rings. Its kernel is  $\ker(\varphi) = (n)\mathbb{Z} = n\mathbb{Z}$ , a principal ideal (a single generator being  $n$  or  $-n$ ).

$I \subset (n)$  means that  $I = (k)$  with  $k \in (n)$ , i.e.,  $n | k$ , i.e.,  $k = mn$  for some  $m \in \mathbb{Z}$ .

So assuming  $k = mn$  we get the diagram

$$\begin{array}{ccc} \mathbb{Z} & & \\ \pi \downarrow & \searrow \varphi & \\ \mathbb{Z}/(mn) & \xrightarrow{\bar{\varphi}} & \mathbb{Z}/(n) \end{array}$$

2)  $\varphi : \mathbb{Z}[i] \rightarrow \mathbb{Z}_2$ , sending  $a + bi \rightarrow \overline{a + b}$ , is a surjective ring homomorphism, with kernel  $\ker(\varphi) = (-1 + i)$ .

Note that  $(4) \subset \ker(\varphi)$  since  $\varphi(4) = \bar{0}$ . The proposition gives us a map  $\bar{\varphi}$  fitting into the following diagram

$$\begin{array}{ccc} \mathbb{Z}[i] & & \\ \pi \downarrow & \searrow \varphi & \\ \mathbb{Z}[i]/(4) & \xrightarrow{\bar{\varphi}} & \mathbb{Z}_2 \end{array}$$

**Example 3.16:** (Example 3.15, 2) cont'd) Since  $\varphi$  is surjective, we can apply the First Isomorphism Theorem for rings, giving

$$\mathbb{Z}[i]/(-1 + i) \cong \mathbb{Z}_2,$$

where the map is given by  $a + bi + (-1 + i)\mathbb{Z}[i] \mapsto \overline{a + b}$ .

Operations on ideals: Let  $R$  be a ring and  $I, J$  ideals in  $R$ . Then

- 1)  $I \cap J = \{a \in R \mid a \in I \text{ and } a \in J\}$ ;
- 2)  $I + J = \{a + b \in R \mid a \in I, b \in J\}$ ;
- 3)  $I \cdot J = \{\sum_{\text{finite}} a_k b_k \in R \mid a_k \in I \text{ and } b_k \in J\}$ .

All of the three are ideals, and we have the following inclusions:

$$I \cdot J \subset I \cap J \subset \begin{Bmatrix} I \\ J \end{Bmatrix} \subset I + J.$$

**Example 3.17:** Let  $R = \mathbb{Z}$ ,  $I = (4) = \{\text{all multiples of 4 inside } \mathbb{Z}\}$ ,  $J = (6) = \{\text{all multiples of 6 inside } \mathbb{Z}\}$ . Then  $I \cap J = \{\text{all } n \text{ in } \mathbb{Z} \text{ which are multiples of both 4 and 6}\}$ , i.e. precisely the multiples of 12, i.e.,  $I \cap J = (12)$ . This example shows in particular that the above inclusions are all strict:

$$(24) \subset (12) \subset \begin{Bmatrix} (4) \\ (6) \end{Bmatrix} \subset (2).$$

**Important fact:** Let  $R$  be a commutative ring with identity. Then we have the following identity of ideals in terms of generators:

$$\begin{aligned} (a_1, \dots, a_n) + (b_1, \dots, b_m) &= (a_1, \dots, a_n, b_1, \dots, b_m), \\ (a_1, \dots, a_n) + (b_1, \dots, b_m) &= (a_1 b_1, \dots, a_1 b_m, \dots, a_n b_1, \dots, a_n b_m). \end{aligned}$$

**Example 3.18:** In  $\mathbb{Z}[\sqrt{-5}]$ , we take  $I = (2, 3 + \sqrt{-5})$ ,  $J = (3, 1 - \sqrt{-5})$ . Then we have

$$I + J = (2, 3 + \sqrt{-5}) + (3, 1 - \sqrt{-5}) = (2, 3 + \sqrt{-5}, 3, 1 - \sqrt{-5}) = (1),$$

since  $1 = (-1) \cdot 2 + 1 \cdot 3 + 0 \cdot (3 + \sqrt{-5}) + 0 \cdot (1 - \sqrt{-5})$ .

$$\begin{aligned} \cdot J &= (2, 3 + \sqrt{-5}) \cdot (3, 1 - \sqrt{-5}) \\ &= (2 \cdot 3, 2 \cdot (1 - \sqrt{-5}), (3 + \sqrt{-5}) \cdot 3, (3 + \sqrt{-5})(1 - \sqrt{-5})) \\ &= (6, 2 - 2\sqrt{-5}, 9 + 3\sqrt{-5}, 8 - 2\sqrt{-5}) \\ &= (6, 2 - 2\sqrt{-5}, 9 + 3\sqrt{-5}) \quad [8 - 2\sqrt{-5} = 6 + (2 - 2\sqrt{-5})] \\ &= (6, 2 - 2\sqrt{-5}, -3 + 3\sqrt{-5}) \quad [\text{replace } 9 + 3\sqrt{-5} = 12 + (-3 + 3\sqrt{-5}) \text{ by} \\ &\quad -3 + 3\sqrt{-5} \text{ since } 12 \text{ is a linear combination of the other two generators}] \\ &= (6, 1 - \sqrt{-5}) \quad [\text{replace the last two generators by their gcd}] \\ &= (1 - \sqrt{-5}). \quad [6 = (1 + \sqrt{-5})(1 - \sqrt{-5})] \end{aligned}$$

**Theorem 3.19.** (*Chinese Remainder Theorem for Rings*) Let  $R$  be a ring and  $I, J \subset R$  ideals such that  $I + J = R$ . Then

$$\begin{aligned} R/(I \cap J) &\xrightarrow{\cong} R/I \times R/J, \\ a &\mapsto (\bar{a}, \bar{a}) = (a + I, a + J). \end{aligned}$$

If  $R$  is commutative with identity, then we further have

$$R/(I \cdot J) \xrightarrow{\cong} R/I \times R/J,$$

with the same map as above.

**Remark 3.20:**

- 1) Suppose  $R$  has an identity, then  $I + J = R$  if and only if  $1 = a + b$  for some  $a \in I, b \in J$ . ( $I$  and  $J$  are then called **coprime** to each other.)
- 2) Suppose  $R$  is commutative with identity. Then if  $I + J = R$ , then  $I \cap J = I \cdot J$ .

**Remark 3.21:** Suppose  $R$  is commutative with identity and  $I, J$  ideals in  $R$  with  $I + J = R$ , then we can write  $1 = a + b$ ,  $a \in I$ ,  $b \in J$ .

For  $r, s \in R$ , we get

$$\begin{aligned} r &= r(a + b) = \underbrace{r \cdot a}_{=:i_r \in I} + \underbrace{r \cdot b}_{=:j_r \in J}, \\ s &= s(a + b) = \underbrace{s \cdot a}_{=:i_s \in I} + \underbrace{s \cdot b}_{=:j_s \in J}. \end{aligned}$$

Under the above map

$$R/I \cdot J \xrightarrow{\cong} R/I \times R/J$$

we obtain that  $sa + rb + I \cdot J$  maps to  $(r + I, s + J)$ .

**Examples 3.22:** 1) Let  $R = \mathbb{Z}$  and  $I = (m)_{\mathbb{Z}}$ ,  $J = (n)_{\mathbb{Z}}$ . Then  $I + J = \gcd(m, n)$ , so  $I + J = R \Leftrightarrow \gcd(m, n) = 1$ .

Suppose that  $\gcd(m, n) = 1$ , so that  $I + J = \mathbb{Z}$ . Then we have

$$\begin{aligned} \mathbb{Z}/(m \cdot n) &\xrightarrow{\cong} \mathbb{Z}/(m) \times \mathbb{Z}/(n), \\ a + mn\mathbb{Z} &\mapsto (a + m\mathbb{Z}, a + n\mathbb{Z}). \end{aligned}$$

Make surjectivity explicit: given  $b, c \in \mathbb{Z}$ , which class in  $\mathbb{Z}/(mn)$  maps to  $(\bar{b}, \bar{c})$ ?

Write  $1 = km + \ell n$  for some  $k, \ell \in \mathbb{Z}$  (this is possible since  $(m, n) = 1$ ).

Then we have

$$\begin{aligned} b &= k \cdot m \cdot b + \underbrace{\ell \cdot n \cdot b}_{\in J}, \\ c &= \underbrace{k \cdot m \cdot c}_{\in I} + \ell \cdot n \cdot c. \end{aligned}$$

Putting these together, we get

$$\overline{\ell n b + k m c} \mapsto (\bar{b}, \bar{c}).$$

2)  $R = \mathbb{Z}[i]$ ,  $I = (2 + i)$ ,  $J = (3 + i)$ . We have  $I + J = \mathbb{Z}[i]$  since  $1 = (-1) \cdot (2 + i) + 1 \cdot (3 + i)$ .

By the above,  $I \cap J = I \cdot J = (2 + i)_R \cdot (3 + i)_R = ((2 + i)(3 + i))_R = (5 + 5i)_R$ , and by the Chinese Remainder Theorem we get

$$\mathbb{Z}[i]/(5 + 5i) \xrightarrow{\cong} \mathbb{Z}[i]/(2 + i) \times \mathbb{Z}[i]/(3 + i).$$

We find the element on the left hand side which maps to  $(3 + I, 2 + J)$ : by the above remark, we take (for  $r = 3$ ,  $s = 2$ ,  $a = -2 - i$  and  $b = 3 + i$ )

$$(r \cdot b + s \cdot a + I \cdot J) = 3 \cdot (3 + i) + 2 \cdot (-2 - i) + I \cdot J,$$

which can be written slightly simpler as  $5 + i + I \cdot J$ .

**Definition 3.23.** Let  $R$  be commutative with identity  $\mathbb{1}_R \neq 0_R$ , and let  $I$  be an ideal in  $R$ . Then  $I$  is called a **prime ideal** if

$$\text{for any } a, b \in R : (ab \in I \Rightarrow a \in I \text{ or } b \in I),$$

and  $I$  is called a **maximal ideal** if

$$\text{for any ideal } J \subset R \text{ with } I \subset J \subset R \text{ we have either } J = I \text{ or } J = R.$$

**Examples 3.24:** For  $R = \mathbb{Z}$ , all the ideals are of the form  $(n)$ ,  $n \in \mathbb{Z}$ .

- 1)  $(0)$  is a prime ideal (but it is not maximal: e.g.,  $(0) \subsetneq (2) \subsetneq \mathbb{Z}$ ).
- 2)  $\mathbb{Z}$  is neither a prime ideal nor a maximal ideal in  $\mathbb{Z}$ .
- 3) Consider  $(n)$  for  $n \geq 2$ .

If  $n$  is a prime number, then  $(n)$  is a prime ideal. In fact, it is even a maximal ideal.

If  $n$  is not a prime number, then  $(n)$  is not a prime ideal. It is also not maximal.

**Theorem 3.25.** *Let  $R$  be commutative with identity,  $I \subset R$  an ideal. Then*

- 1)  $I$  is a prime ideal in  $R \Leftrightarrow R/I$  is an integral domain.
- 2)  $I$  is a maximal ideal in  $R \Leftrightarrow R/I$  is a field.

**Corollary 3.26.** *A maximal ideal is also a prime ideal.*