

## ANT: RFI

Remark: Using induction on the number of factors you can prove the more general statement, if  $f(x) \in F[x]$  is irreducible and  $f(x) | g_1(x) \dots g_s(x)$ , then  $f(x)$  divides  $g_i(x)$  for some  $i \in \{1, \dots, s\}$

Theorem: let  $F$  be a field and  $f(x) \in F[x]$  of degree  $\geq 1$ .

Then  $f(x) = g_1(x) \dots g_s(x)$  for some irreducible  $g_i(x) \in F[x]$ .

(Existence of decomposition into irreducibles)

Moreover if  $f(x) = h_1(x) \dots h_t(x)$  for irreducible  $h_j(x)$   
then  $s = t$  and after renumbering the  $h_j(x)$  if necessary we have

$$g_i(x) = c_i h_i(x) \quad \forall i \in \{1, \dots, s\}, \text{ for some } c_i \in F^*$$

(Uniqueness of decomposition into irreducibles)

Example: 1) let  $F = \mathbb{Q}$ , let  $f(x) = 5x^3 + x^2 + 15x + 3$

$$f(x) = (x + \frac{1}{3})(5x^2 + 15)$$

Both factors on the right are irreducible:

$x + \frac{1}{3}$  has degree 1  
 $5x^2 + 15$  is of degree 2 and has no root in  $\mathbb{Q}$

Another decomposition of  $f(x) = (5x+1)(x^2+3)$

$$= \underbrace{\left[\frac{1}{5}(5x+1)\right]}_{x+\frac{1}{3}} \underbrace{\left[5(x^2+3)\right]}_{5x^2+15}$$

$$2) f(x) = x^4 + x^3 + 2x^2 + 4x + 2 \in \mathbb{Q}[x].$$

Candidates for roots are  $\pm 1, \pm 2$ . Checking:  $-1$  is a root  $\Rightarrow (x - (-1))$  is a factor

$$f(x) = \underbrace{(x+1)}_{\text{irreducible}} \underbrace{(x^3 + 2x + 2)}_{\substack{\text{no roots in } \mathbb{Q}, \\ \text{irreducible}}}$$

3)  $x^4 - 4$  in  $\mathbb{Q}[x]$  has candidate roots.  
 $\pm 1, \pm 2, \pm 4$ .

None of them actually is a root.

Cannot conclude irreducibility of  $x^4 - 4$  yet

Find  $x^4 - 4 = (x^2 - 2)(x^2 + 2)$  as a factorization  
 into quadratics (irreducible quadratics) over  $\mathbb{Q}[x]$ .

4)  $x^3 - 1$  over  $\mathbb{Z}_5[x]$ .

One root is obvious, 1, can factor off  $(x-1)$

$$(x^3 - 1) = (x-1)(x^2 + x + 1)$$

$\uparrow$  irreducible as it is linear  $\uparrow$  irreducible as it is quadratic and has no roots in  $\mathbb{Z}_5$ .

Remark: Slightly less ambiguous way to decompose  $f(x) \in F[x]$

$$f(x) = g_1(x) \cdots g_s(x) \text{ with } g_i(x) \text{ irreducible}$$

could also be written as

$$f(x) = c \tilde{g}_1(x) \cdots \tilde{g}_s(x)$$

where  $\tilde{g}_i(x)$  is irreducible and monic, and  $c \in F^*$

Then the decomposition is unique upto permutation of the  $\tilde{g}_i(x)$

$$\begin{aligned} \text{Example: } 2x^2 + 11x + 5 &= (2x+1)(x+5) \\ &= (x + \frac{1}{2})(2x + 10) \\ &= 2(x + \frac{1}{2})(x + 5) \end{aligned}$$

Lemma: For  $n \geq 2$ , the reduction of coefficients:

$$\mathbb{Z}[x] \rightarrow \mathbb{Z}_n[x]$$

$$f(x) = \sum_{j=0}^m a_j x^j \mapsto \bar{f}(x) = \sum_{j=0}^m \bar{a}_j x^j$$

constitutes a ring homomorphism.

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Very useful criterion for checking irreducibility in  $\mathbb{Q}[x]$  is

Gauss Lemma: Let  $f(x)$  be a non-constant polynomial in  $\mathbb{Z}[x]$ , if  $f(x) = g(x)h(x)$  in  $\mathbb{Q}[x]$  then we can find  $\tilde{g}(x)$ ,  $\tilde{h}(x)$  in  $\mathbb{Z}[x]$  such that

$$f(x) = \tilde{g}(x)\tilde{h}(x)$$

More precisely we can find  $a, b \in \mathbb{Q}$  with  $a \cdot b = 1$  such that:

$$\tilde{g}(x) = ag(x), \tilde{h}(x) = bh(x).$$

Example:  $f(x) = 6x^4 + x^3 + 17x^2 + 3x - 3$

$$( \text{in } \mathbb{Q}[x] ) = (x + \frac{1}{2})(x - \frac{1}{3})(6x^2 + 18)$$

$\downarrow \cdot 2 \quad \downarrow \cdot 3 \quad \downarrow \div 6$

$$( \text{in } \mathbb{Z}[x] ) = (2x+1)(3x-1)(x^2 + 3)$$

Proof: (of lemma)

Suppose  $f(x) \in \mathbb{Z}[x]$  of degree  $\geq 1$  factors into  $g(x)h(x)$  in  $\mathbb{Q}[x]$ . Take  $a \in \mathbb{N}$  such that  $\tilde{g}(x) = ag(x)$  is in  $\mathbb{Z}[x]$  and  $b \in \mathbb{N}$  such that  $\tilde{h}(x) = bh(x)$  is in  $\mathbb{Z}[x]$ .

Put  $N = ab$ , then

$$\begin{aligned} \tilde{f}(x) &:= Nf(x) \\ &= \underbrace{ag(x)}_{\in \mathbb{Z}[x]} \underbrace{bh(x)}_{\in \mathbb{Z}[x]} \\ &= \tilde{g}(x)\tilde{h}(x) \in \mathbb{Z}[x] \end{aligned} \tag{*}$$

Now stepwise 'improve'  $N$  (i.e. make it smaller), until  $N = 1$

(Crucial) step: let  $p$  be a prime s.t.  $p \mid N$ , the reduction mod  $p$  gives for  $(*)$

$$\bar{0} = \overline{N}f(x) = \overline{\tilde{g}(x)} \overline{\tilde{h}(x)} \in \mathbb{Z}_p[x]$$

use reduction mod  $p$  is a ring homomorphism.

Since  $\mathbb{Z}_p[x]$  is an integral domain ( $\mathbb{Z}_p$  is a field as  $p$  is prime) we must have:

$$\bar{0} = \overline{\tilde{g}(x)} \text{ or } \bar{0} = \overline{\tilde{h}(x)}$$

(without loss of generality) we assume the former.

Now  $\bar{0} = \overline{\tilde{g}(x)}$  means that every coefficient  $a_i$  in

$$\tilde{g}(x) = \sum_{i=0}^m a_i x^i$$

must be divisible by  $p$ .

Hence  $\frac{1}{p} \tilde{g}(x) \in \mathbb{Z}[x]$  still, and hence

$$\frac{a}{p} g(x) \in \mathbb{Z}[x]$$

$$\text{Moreover } \frac{N}{p} f(x) = \underbrace{\frac{a}{p} g(x)}_{\in \mathbb{Z}[x]} \cdot \underbrace{bh(x)}_{\in \mathbb{Z}[x]} \in \mathbb{Z}[x].$$

Now put  $\tilde{f}(x) = \frac{N}{p} f(x)$ ,  $\tilde{g}(x) = \frac{a}{p} g(x)$ ,  $\tilde{h}(x) = bh(x)$  and get another decomposition of the 'smaller' polynomial  $\tilde{f}(x)$  as

$$\tilde{f}(x) = \tilde{g}(x) \tilde{h}(x) \text{ in } \mathbb{Z}[x]$$

Repeat the crucial step now for any prime  $q$  dividing  $\frac{N}{p}$ ; this allows us to cancel another factor

Eventually this  $\frac{N}{p}$  is reduced to 1 and we're done.

Example: Factorize  $x^4 + 4$  in  $\mathbb{Q}[x]$ . Candidate roots are  $\pm 1, \pm 2, \pm 4$ , which none are.

So is either irreducible or a product of two quadratic irreducibles.

If it does factorize we get:

$$x^4 + 4 = (Ax^2 + Bx + C)(Dx^2 + Ex + F)$$

with  $A, \dots, F \in \mathbb{Q}$ . This seems hard, infinitely many choices possible, to decide. But the Gauss lemma helps: we can choose  $A, \dots, F \in \mathbb{Z}$ , leaving very few

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possibilities.

Conditions:

$$\begin{aligned} AD &= 1 \Rightarrow A = D = \pm 1 \\ BD + AE &= 0 \\ AF + BE + CD &= 0 \\ BF + CE &= 0 \\ CF &= 4 \end{aligned}$$

Can choose  $A = D = 1$ , can restrict to 6 cases:

$$(C, F) = \begin{cases} (1, 4), (-1, -4) \\ (2, 2), (-2, -2) \\ (4, 1), (-4, -1) \end{cases}$$

One case leads to the factorisation:

$$x^4 + 4 = (x^2 + 2x + 2)(x^2 - 2x + 2)$$

Two further irreducibility criteria:

**Proposition:** If  $f(x) \in \mathbb{Z}[x]$  is non-constant and  $p \circ$  prime and  $\bar{f}(x) \in \mathbb{Z}_p[x]$  is irreducible and  $\deg(\bar{f}(x)) = \deg(f(x))$  then  $f(x) \in \mathbb{Q}[x]$  is irreducible.

**Proof:** Prove the logical negation of statement (i.e.  $f(x) \in \mathbb{Q}[x]$  reducible  $\Rightarrow \bar{f}(x) \in \mathbb{Z}_p[x]$  is reducible if  $\deg(\bar{f}(x)) = \deg(f(x))$ ).

Suppose  $f(x) = g(x)h(x)$  with  $g(x), h(x) \in \mathbb{Q}[x]$  and  $\deg(g(x)) \geq 1$  and  $\deg(h(x)) \geq 1$ . By Gauss lemma we can assume that  $g(x), h(x) \in \mathbb{Z}[x]$ .

Hence for a given prime  $p$ , using the ring homomorphism "reduction mod  $p$ ";

$$\begin{aligned} \mathbb{Z}[x] &\rightarrow \mathbb{Z}_p[x] \\ f(x) &\mapsto \bar{f}(x) \end{aligned}$$

we find  $\bar{f}(x) = \bar{g}(x)\bar{h}(x)$ , and

$\deg(\bar{g}(x)) \leq \deg(g(x)) \quad \left\{ \begin{array}{l} \text{degree can only become smaller} \\ \text{if } p \text{ divides top coefficient} \end{array} \right.$

Now since  $\deg(\bar{f}(x)) = \deg(f(x))$ , we get in fact  $\deg(\bar{g}(x)) = \deg(g(x))$ , and similarly for  $h(x)$

Hence  $\bar{f}(x)$  is reducible in  $\mathbb{Z}_p[x]$ .

Example: 1)  $f(x) = 3x^2 + 7x + 13$ ,  $p=2$

$$\bar{f}(x) = x^2 + x + 1, \text{ irreducible in } \mathbb{Z}_2[x]$$

Hence since  $\deg(f(x)) = \deg(\bar{f}(x))$ , get  $f(x)$  is irreducible.

In fact more generally we can say

$$f(x) = (1+2k)x^2 + (1+2l)x + (1+2m)$$

is irreducible in  $\mathbb{Q}[x]$ , where  $k, l, m \in \mathbb{Z}$ . Similarly for:

$$f(x) = (1+2k)x^3 + (1+2l)x + (1+2m)$$

2)  $f(x) = 3x^2 + 2x$  is reducible (it equals  $x(3x+2)$ ) but reduction mod 3 gives

$$\bar{f}(x) = 2x$$

which is irreducible; proposition does not apply here since  $\deg(\bar{f}(x)) < \deg(f(x))$

Often the most powerful criterion is given by Eisenstein; e.g.  $f(x) = x^{p-1} + x^{p-2} + \dots + 1$  is irreducible in  $\mathbb{Q}[x]$  for  $p$  a prime.

Proposition: (Eisenstein's irreducibility criterion)

Let  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$ ,  $a_i \in \mathbb{Z}$ , and let  $p$  be a prime such that  $p \mid a_0, p \nmid a_1, \dots$ , but  $p \nmid a_n$  and  $p^2 \nmid a_0$ , then  $f(x)$  is irreducible over  $\mathbb{Q}[x]$ .

Example:  $x^4 - 2$  is irreducible in  $\mathbb{Q}[x]$

Use Eisenstein criterion for  $p=2$ .

$$2 \mid a_0, a_1, a_2, a_3 \text{ and } 2 \nmid a_4 \text{ and } 2^2 \nmid a_0$$

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Note:  $x^4 \pm 4$  is not irreducible in  $\mathbb{Q}[x]$ : Eisenstein  
for  $p = 2$  fails,  $p^2 \mid a_0$ .

2)  $x^n - 2$  is irreducible in  $\mathbb{Q}[x]$  for any  $n \geq 1$ ,  
using Eisenstein for  $p = 2$ .

Also  $x^n - p$  for any prime  $p$  and  $n \geq 1$  is irreducible  
using Eisenstein for  $p$ .

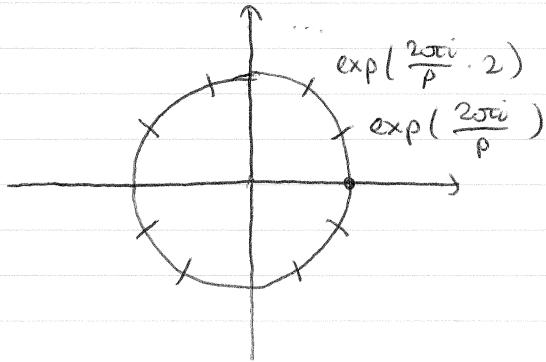
3) let  $p$  be a prime, then:

$$x^{p-1} + x^{p-2} + \dots + 1 \quad (*)$$

The "cyclotomic polynomial" is irreducible in  $\mathbb{Q}[x]$

$$x^p - 1 = (x^{p-1} + x^{p-2} + \dots + 1)(x - 1)$$

with roots:



Apply the following:

Exercise:  $f(x)$  is irreducible in  $\mathbb{Q}[x] \iff f(x+1)$  is irreducible in  $\mathbb{Q}[x]$ .

Now rewrite  $(*)$  as  $\frac{x^p - 1}{x - 1}$ , irreducible  $\iff \frac{(x+1)^p - 1}{(x+1) - 1}$  irreducible.

$$\frac{(x+1)^p - 1}{(x+1) - 1} \stackrel{\substack{\text{binomial} \\ \text{theorem}}}{=} \frac{\sum_{i=0}^p \binom{p}{i} x^i - 1}{x}$$

$$= \sum_{i=1}^p \binom{p}{i} x^{i-1}$$

$$= x^{p-1} + \underbrace{\binom{p}{1} x^{p-2} + \binom{p}{2} x^{p-3} + \dots + \binom{p}{p-1}}_{\text{all these coefficients divisible by } p}$$

Last coefficient is  $p$ , not divisible by  $p^2$ , hence Eisenstein criterion for prime  $p$  applies.

Proof : (Eisenstein criterion)

Suppose  $f(x)$  is reducible, go for a contradiction.

i.e.  $f(x) = g(x)h(x)$ , with  $g(x) = b_r x^r + \dots + b_0$   
 $h(x) = c_s x^s + \dots + c_0$ ,  $b_i, c_j \in \mathbb{Z}$ ,  $r, s \geq 1$ .

$$f(x) = \sum_{i=0}^n a_i x^i$$

where  $r+s=n$ ,  $r, s < n$ .

Then comparing coefficients :

$$\begin{aligned} a_0 &= b_0 c_0 \\ a_1 &= b_1 c_0 + b_0 c_1 \end{aligned}$$

$$a_k = \sum_{i=0}^k b_i c_{k-i}, \quad 0 \leq k \leq n.$$

Since  $p \nmid a_0$ , we must have  $p \nmid b_0$  or  $p \nmid c_0$ , assume wlog  $p \nmid b_0$ .

"Crucial idea": take the smallest index  $k$  such that  $b_k$  is not divisible by  $p$  (not all can be divisible by  $p$ , otherwise all the  $a_i$  would be).

Then  $a_k = b_k c_0 + (b_{k-1} c_1 + \dots + b_0 c_k)$ , clearly  $0 \leq k \leq r < n$ .

Check divisibility by  $p$ :

LHS =  $a_k$  is divisible by  $p$ . RHS,  $b_{k-1} c_0 + \dots + b_0 c_k$  is also divisible by  $p$ , due to assumption of minimality of  $k$ .

Hence the difference  $b_k c_0$  is divisible by  $p$ , so  $p \mid c_0$ .

But since  $p \nmid b_0$ ,  $p^2 \nmid b_0 c_0 = a_0$ , contradiction.

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## 3 Ideals and Quotient Rings

(cf: Q58)

$$(1 + \sqrt{-5})(1 - \sqrt{-5}) = 2 \times 3 = 6$$

$$\diagdown \quad | \quad \diagup$$

irreducible in  $\mathbb{Z}[\sqrt{-5}]$  and  
really different.

$$(\pi, \pi_1) (\pi_2, \pi_2') = (\pi, \pi_2) (\pi_1', \pi_2')$$

$$\begin{matrix} \uparrow & \uparrow & \uparrow & \uparrow \\ 1 + \sqrt{-5} & 1 - \sqrt{-5} & 2 & 3 \end{matrix}$$

Definition: Let  $R$  be a ring, then an ideal  $I$  in  $R$  is a subset such that i) - iii) hold

i)  $0_R \in I$

ii) for  $i_1, i_2 \in I$ , then also  $i_1 - i_2 \in I$

iii) for any  $i \in I$  and  $r \in R$ , then  $i \cdot r \in I$ , and  $r \cdot i \in I$

$\left. \begin{array}{l} I \text{ forms a} \\ \text{subgroup of } R \\ \text{with respect to} \\ \text{addition.} \end{array} \right\}$

Note: In particular  $I$  is a subring of  $R$ , but, a rather distinct one: " $I \cdot R \subseteq I$ ",  $I$  behaves like a 'black hole', sucks in everything that comes near it, and " $R \cdot I \subseteq I$ ".

Remark: 1) If  $R$  is commutative, then iii) can be replaced by checking only  $i \cdot r \in I$

2) If  $R$  has an identity, and  $1_R \in I$ , then  $I = R$ .

iii) implies  $r = \underbrace{1_R \cdot r}_{\in I} \in I \quad \forall r \in R$

Similarly if any unit  $u \in R$  lies in  $I$ , then  $I = R$

Examples: a)  $\{0_R\} \subset R$  is an ideal,  $R$  is an ideal in  $R$ , "trivial" ideals in  $R$ .

1) Take  $R = \mathbb{Z}$ , then  $n\mathbb{Z} = \{nk \mid k \in \mathbb{Z}\}$  forms an ideal, for  $n \geq 0$ .

2) If  $F$  is a field, then the only ideals in  $F$  are

the trivial ones :

Let  $I$  be an ideal,  $I \neq \{0_F\}$ , then  $\exists a \in I, a \neq 0$  hence is invertible (in  $F$ ), i.e. is a unit. By above remark we must have  $I = F$ .

Notation: let  $R$  be a commutative ring with identity. If  $A \subset R$  is a subset, then we denote:

$$(A) := (A_R)$$

$\therefore$  "smallest ideal in  $R$  which contains  $A$ ",  
also "ideal generated by  $A$ ".

$$= \begin{cases} \{0_R\} & \text{if } A = \emptyset \\ \{\sum_{\text{finite}} n_a a \mid a \in A, n_a \in R\} & \text{if } A \neq \emptyset \end{cases}$$

If  $A = \{a_1, \dots, a_r\}$  then we write:

$$\{(a_1, \dots, a_r)\}_R = (a_1, \dots, a_r)_R$$

Example: If  $A \subset R$  contains only one element,  $a$ , say, then:

$$\begin{aligned} (A) &= (A)_R \\ &= (\{a\})_R \\ &= (a)_R \\ &= \{ar \mid r \in R\} \end{aligned}$$

all multiples of  $a$  in  $R$ .

Definition: In this case (i.e.  $A$  has a single generator), the ideal  $(a)_R$  is called a principal ideal.

Example: If  $A = \{a_1, a_2\} \subset R$ , then

$$(A)_R = \{a_1r_1 + a_2r_2 \mid r_1, r_2 \in R\}$$

Easy fact: (Q63) Let  $R$  be a commutative ring with identity, let  $I \subset R$  be an ideal, and  $A \subset R$  be a subset.

Then:  $(A) \subset I \iff A \subset I$

i.e. any  $a \in A$ ,  $a$  is also in  $I$ .

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We have seen the ideals  $n\mathbb{Z}$  as the kernels of reduction homomorphisms:

$$\begin{aligned}\mathbb{Z} &\rightarrow \mathbb{Z}_n \\ a &\mapsto \overline{a}\end{aligned}$$

This is an instance of a more general fact.

**Proposition:** Let  $\varphi : R \rightarrow S$  be a ring homomorphism, then  $\ker \varphi$  is an ideal in  $R$ .

**Example:** See:

$$\begin{aligned}\varphi : \mathbb{Z}[i] &\rightarrow \mathbb{Z}_2 \\ a+bi &\mapsto \overline{a+b}\end{aligned}$$

is a ring homomorphism, with kernel

$$\begin{aligned}\ker \varphi &= \{y(-1+i) \mid y \in \mathbb{Z}[i]\} \\ &= (-1+i)\mathbb{Z}[i].\end{aligned}$$

**Example:** Take  $\varphi : \mathbb{Z}[i] \rightarrow \mathbb{Z}_{37}$ , sending  $a+bi \mapsto \overline{a+\frac{b}{i}}$   
 needs to satisfy  
 $\omega^2 = -1$

$$\begin{aligned}\text{Then: } \ker \varphi &= (37, 6-i)\mathbb{Z}[i] \\ &= \{37\alpha + (6-i)\beta \mid \alpha, \beta \in \mathbb{Z}[i]\}\end{aligned}$$

" $\bar{5}$ ":

$$\varphi(37) = \overline{0}$$

$$\varphi(6-i) = \overline{6+6(-1)} = \overline{0}$$

So:

$$\begin{aligned}\varphi(37\alpha + (6-i)\beta) &= \underbrace{\varphi(37)}_{\overline{0}} \varphi(\alpha) + \underbrace{\varphi(6-i)}_{\overline{0}} \varphi(\beta) \\ &= \overline{0}\end{aligned}$$

" $\bar{c}$ ": let  $\overline{y} = a+bi$  be in  $\ker \varphi$ , i.e.  $\varphi(a+bi) = \overline{a+6b} = \overline{0}$

Then:  $a+6b = 37k$  for some  $k \in \mathbb{Z}$

$$\begin{aligned}a+bi &= a+6b - (6-i)b \\ &= 37k - (6-i)b \\ &\in \{37\alpha + (6-i)\beta \mid \alpha, \beta \in \mathbb{Z}[i]\}\end{aligned}$$

Proof : (Proposition)

i) know  $\varphi(0_R) = 0_S$ , hence  $0_R \in \ker \varphi$

ii) Suppose  $a, b \in \ker \varphi$ ,

$$\begin{aligned}\Rightarrow \varphi(a-b) &= \varphi(a) - \varphi(b) \\ &= 0_S - 0_S \\ &= 0_S\end{aligned}$$

$$\Rightarrow a-b \in \ker \varphi$$

iii) Suppose  $a \in \ker \varphi$ ,  $r \in R$

$$\begin{aligned}\Rightarrow \varphi(ar) &= \varphi(a)\varphi(r) \\ &= 0_S\end{aligned}$$

$$\Rightarrow ar \in \ker \varphi$$

Example : (continued)

$\ker \varphi$  above is equal to  $(6-i)_{\mathbb{Z}[i]}$

$$\text{ie. } (37, 6-i) = (6-i)$$

Show  $37 \in (6-i)$ , clear  $37 = (6-i)(6+i)$ ,  
clear  $6-i \in (6-i)$

Also  $\mathbb{Z}[i]$  is commutative with identity, can use "easy fact":

$$(37, 6-i) \subset \ker \varphi$$

Overall find  $\ker \varphi = (6-i)_{\mathbb{Z}[i]}$ .

How does an ideal arise naturally? E.g. the kernel of a ring homomorphism is an ideal. Will soon see a converse, i.e. any ideal is the kernel of a ring homomorphism.

Proposition: let  $F$  be a field. Then the ideals in  $F[x]$  are all principal ideals. More precisely, any non-trivial ideal in  $F[x]$  has the form

$$(f(x))_{F[x]}, \text{ with } \deg(f(x)) \geq 1$$

Furthermore  $(f(x)) \subset (g(x))$  if and only if  $g(x) | f(x)$

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in  $F[x]$ , and  $(f(x)) = (g(x))$  iff  $f(x) = cg(x)$   
 for  $c \in F[x]^* = F^*$ .

Proof : let  $I$  be a non-zero ideal in  $F[x]$ . ( $I = \{0_F\}$  is clearly principal).

So suppose  $f(x) \in I \setminus \{0_F\}$  with minimal degree

Now express any  $g(x) \in I$  in terms of  $f(x)$  using division with remainder

$$g(x) = q(x)f(x) + r(x)$$

where  $q(x), r(x) \in F[x]$ , and  $\deg(r(x)) < \deg(f(x))$ .

Claim :  $r(x) = 0$

$$r(x) = \underbrace{g(x)}_{\in I} - \underbrace{q(x)f(x)}_{\in I} \in I$$

but of smaller degree than  $f(x)$ , hence must be 0.

Therefore  $g(x) = q(x)f(x)$  and  $f(x) | g(x)$ , so  
 $I = (f(x))$

Furthermore  $(f(x)) = (g(x)) \Leftrightarrow f(x) \in \{h(x)g(x) \mid h(x) \in F[x]\}$   
 $\Leftrightarrow g(x) | f(x)$  in  $F[x]$ .

"to divide is to contain" (think Caesar)

Finally if  $(f(x)) = (g(x))$   
 $\Leftrightarrow (f(x)) \subset (g(x))$  and  $(g(x)) \subset (f(x))$   
 $\Leftrightarrow g(x) | f(x)$  and  $f(x) | g(x)$   
 (earlier)  $\Leftrightarrow g(x) = cf(x)$  for some  $c \in F[x]^* = F^*$

'companion notion' of ideal is a 'quotient ring'

Recall how to work in  $\mathbb{Z}_n$ :

let  $x, y \in \mathbb{Z}_n$ , ( $x, y$  are subsets of  $\mathbb{Z}$ ), they can be written as  $x = \bar{a}$ ,  $y = \bar{b}$  for some  $a, b \in \mathbb{Z}$ .

$$x = \{a + kn \mid k \in \mathbb{Z}\}, \text{ etc}$$

We add  $x, y \in \mathbb{Z}_n$  by setting

$$x+y = \bar{a} +_{\mathbb{Z}_n} \bar{b} = \overline{a+b}$$

Similarly

$$x \cdot y = \bar{a} \cdot_{\mathbb{Z}_n} \bar{b} = \overline{a \cdot b}$$

[Check: This addition and multiplication is independent of the choices of  $a$  and  $b$ .]

Seen  $n\mathbb{Z}$  ( $n \geq 0$ ) are ideals in  $\mathbb{Z}$ , and  $n\mathbb{Z}$  is the kernel of  $\mathbb{Z} \rightarrow \mathbb{Z}_n$ ,  $a \mapsto \bar{a}$ .

More general situation:

Let  $R$  be a ring and  $I \subset R$  an ideal in  $R$ ;  $R$  is an abelian group wrt addition,  $I$  is a subgroup wrt addition we can form the quotient group  $R/I$ .

Elements of  $R/I$ :  $\bar{a} := a+I := \{a+i \mid i \in I\}$

Addition in  $R/I$ :  $(a+I) +_{R/I} (b+I) = (a+b)+I$

Zero element in  $R/I$ :  $0+I = I$

Define multiplication in  $R/I$ :  $(a+I) \cdot_{R/I} (b+I) = (a \cdot b)+I$

Check that this constitutes a good definition, well-definedness

Suppose  $a' \in a+I$ ,  $b' \in b+I$  then need

$$\begin{aligned}\overline{a'+b'} &:= (a'+b')+I \\ &= (a+b)+I\end{aligned}$$

Write  $a' = a+i$ ,  $b' = b+j$ ,  $i, j \in I$ , then

$$a'+b' = (a+b) + (i+j)$$

$$\begin{aligned}a'+b'+I &= ((a+b)+(i+j))+I \\ &= (a+b)+I\end{aligned}$$

Similarly for multiplication.

## ANT: R/I

Definition: For any ideal  $I$  in a ring  $R$ , the map

$$\begin{aligned}\pi: R &\longrightarrow R/I \\ r &\mapsto \bar{r} := r + I\end{aligned}$$

is called the canonical projection (of  $R$  along  $I$ ).

Example:  $\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z} = \mathbb{Z}_n$

Proposition: With the above notation,  $R/I$  is a ring, with addition and multiplication induced from  $R$ :

$$\begin{array}{ll}\text{addition: } & \bar{a} + \bar{b} = \overline{a+b} \\ \text{multiplication: } & \bar{a} \cdot \bar{b} = \overline{ab}\end{array}$$

The canonical projection  $\pi: R \rightarrow R/I$  is a surjective ring homomorphism.

Moreover  $\ker(\pi) = I$ .

Definition: With the above notation  $R/I$  is called the quotient ring of  $R$  by  $I$ .

Proof: 1)  $R/I$  is a ring

Ring operations and properties are simply inherited from  $R$ .

$$\begin{aligned}\text{E.g. } \bar{a}(\bar{b} + \bar{c}) &= \overline{a(b+c)} \\ &= \overline{a(b+c)} \\ &= \overline{ab + ac}, \text{ distributivity in } R \\ &= \overline{ab} + \overline{ac} \\ &= \bar{a} \cdot \bar{b} + \bar{a} \cdot \bar{c}\end{aligned}$$

$$\begin{aligned}0_{R/I} &= \overline{0_R} \\ &= \overline{0+I} \\ &= \{0+i \mid i \in I\} \\ &= I\end{aligned}$$

2)  $\pi$  is a ring homomorphism, for  $a, b \in R$

$$\begin{aligned}\pi(a + b) &= \overline{a+b} \\ &= \overline{a} + \overline{b} \\ &= \pi(a) + \pi(b)\end{aligned}$$

3)  $\pi$  is surjective. Let  $a \in R/I$ , then choose  $a \in R$  such that  $\pi(a) = a + I$ .

$$\text{Then } \pi(a) = a + I$$

$$\begin{aligned} 4) \quad \text{Ker}(\pi) &= \{a \in R \mid \pi(a) = 0_{R/I}\} \\ &= \{a \in R \mid \bar{a} = \bar{0}_R\} \\ &= I \end{aligned}$$

Example: Work in the ring  $\mathbb{Z}[i]/(-1+i)\mathbb{Z}[i]$ .

(i.e.  $(-1+i)$  is the principal ideal in  $\mathbb{Z}[i]$  generated by  $-1+i$ ).

$$\text{Claim: } \overline{-6+i} = \overline{-i} \text{ in the quotient ring}$$

To show:

$$(-6+i) - (-i) \in (-1+i)\mathbb{Z}[i]$$

To lie in this ideal means to be a multiple of the single generator

Try to divide

$$\begin{aligned} \frac{-6+2i}{-1+i} &= \frac{(-6+2i)(-1-i)}{(-1+i)(-1-i)} \\ &= \frac{2(-3+i)(-1-i)}{2} \end{aligned}$$

$$\in \mathbb{Z}[i]$$

So the claim holds.

Example: Working in the quotient ring  $\mathbb{Q}[x]/(x^2+x+1)\mathbb{Q}[x]$

$$\text{Is } \overline{2x^3} = \overline{x+2}?$$

In other words, is:

$$2x^3 - (x+2) \in (x^2+x+1)\mathbb{Q}[x]?$$

Long division gives:

## ANT: RFI

$$\begin{aligned} 2x^3 - x - 2 &= 2x(x^2 + x + 1) - 2(x^2 + x + 1) - x \\ &= (2x - 2)(x^2 + x + 1) - x \end{aligned}$$

and  $x$  is not divisible in  $\mathbb{Q}[x]$  by  $x^2 + x + 1$ , so

$$\overline{2x^3} \neq \overline{x+2}$$

**Corollary:** (of proposition) "First Isomorphism Theorem for Rings"

Suppose  $\varphi: R \rightarrow S$  is a ring homomorphism, and  $\varphi$  is surjective.

Then  $R/\ker \varphi$  and  $S$  are isomorphic as rings.

i.e.  $\exists$  surjective and injective ring homomorphism, via:

$$\begin{array}{ccc} \overline{\varphi}: R/\ker \varphi & \xrightarrow{\cong} & S \\ a + \ker \varphi & \mapsto & \varphi(a) \end{array}$$

**Example:** het  $\varphi: \mathbb{R}[x] \rightarrow \mathbb{C}$   
 $f(x) \mapsto f(i)$

We know that such specialisation maps are homeomorphism

Surjectivity of  $\varphi$ : het  $\alpha = a+bi \in \mathbb{C}$ , then

$$\begin{aligned} \varphi(a+bx) &= a+bi \\ &= \alpha \end{aligned}$$

$$\ker \varphi = (x^2 + 1)_{\mathbb{R}[x]}.$$

" $\supset$ ":  $f(x) \in (x^2 + 1) \Rightarrow f(x) = g(x)(x^2 + 1)$   
 for some  $g(x) \in \mathbb{R}[x]$ .

$$\begin{aligned} \text{Then } \varphi(f(x)) &= \varphi(g(x))\varphi(\underbrace{x^2 + 1}_{i^2 + 1}) \\ &= 0 \end{aligned}$$

" $\subset$ ": Assume  $g(x) \in \ker \varphi$ , i.e.  $g(i) = \varphi(g(x)) = 0$ .

Write  $g(x) = q(x)(x^2 + 1) + r(x)$ ,  
 with  $\deg(r(x)) < \deg(x^2 + 1) = 2$ .

Specialising to  $x = i$  gives

$$0 = g(i) = \underbrace{g(i)(i^2 + 1)}_0 + r(i)$$

$r(i) = ai + b$  since  $\deg(r(x)) \leq 1$ , but in  $\mathbb{C}$ ,  $ai + b \neq 0$  means  $a = b = 0$ .

$$\Rightarrow g(x) = g(x)(x^2 + 1).$$

Conclusion : (FIT)  $\mathbb{R}[x]/(x^2 + 1) \cong \mathbb{C}$ .

Proposition : (prepare for proof of FIT)

Let  $\varphi : R \rightarrow S$  be a homomorphism of rings,  
let  $I \subset R$  be an ideal and  $\pi : R \rightarrow R/I$   
the canonical projection.

Now if  $\ker \varphi \supseteq I$  then there is a unique  
map  $\bar{\varphi} : R/I \rightarrow S$  such that  $\bar{\varphi} \circ \pi = \varphi$

Moreover  $\bar{\varphi}$  is a ring homomorphism.

Diagrammatical way to visualise the statement:

$$\begin{array}{ccc} R & \xrightarrow{\pi} & R/I \\ \varphi \searrow & \curvearrowright & \swarrow \exists! \bar{\varphi} \text{ if } I \subseteq \ker \varphi \\ & S & \end{array}$$

There exists unique.

Proof : 1) Uniqueness first

If  $\bar{\varphi}$  exists, then it necessarily maps a class  $\bar{a} = a + I$  for an  $a \in R$  to  $\varphi(a)$

$$\begin{aligned} \bar{\varphi}(\bar{a}) &= \bar{\varphi}(\pi(a)) \\ &= \varphi(a) \end{aligned}$$

2) Existence (take a clue from part 1)

Define  $\bar{\varphi}(\bar{a}) := \varphi(a)$ , well definedness?

## ANT: RF I

Example: 1)  $R = \mathbb{Z}$ ,  $I = (n)_{\mathbb{Z}}$

$$\varphi : \mathbb{Z} \rightarrow \mathbb{Z}_m$$

$$a \mapsto \bar{a} = a \pmod{m}$$

defines a homomorphism of rings

$$\ker \varphi = m\mathbb{Z} = (m)_{\mathbb{Z}}$$

Suppose now  $I \subset \ker \varphi$ . Then  $m \mid n$

Hence assume  $n = km$ ,  $k \in \mathbb{Z}$ .

Proposition gives:

$$\begin{array}{ccc} & \text{reduction mod } n & \\ \mathbb{Z} & \xrightarrow{\quad} & \mathbb{Z}_n \\ \varphi \searrow & \downarrow & \swarrow \exists! \bar{\varphi} \text{ - further reduction mod } m \\ & \mathbb{Z}_m & \end{array}$$

$$\begin{aligned} \bar{\varphi} : \mathbb{Z}_n &\rightarrow \mathbb{Z}_m \\ a + n\mathbb{Z} &\mapsto a + m\mathbb{Z} \end{aligned}$$

Specifically,  $n = 6$ ,  $m = 3$ , then

$$\begin{array}{ccc} \mathbb{Z} & \xrightarrow{\quad} & \mathbb{Z}_6 \\ & \searrow & \downarrow \exists! \bar{\varphi} \\ & & \mathbb{Z}_3 \end{array}$$

$$\begin{array}{ccc} a & \mapsto & a \pmod{6} \\ & \searrow & \downarrow \\ & & a \pmod{3} \end{array}$$

2) Recall:

$$\begin{aligned} \varphi : \mathbb{Z}[i] &\rightarrow \mathbb{Z}_2 \\ a+bi &\mapsto \frac{a+b}{a+b} \end{aligned}$$

is a surjective ring homomorphism, with kernel  $(-1+i)\mathbb{Z}[i]$

Since  $I = (4) \subsetneq (-1+i)\mathbb{Z}[i]$  as  
 $(-1+i) | 4 = (-1+i)^2(-1-i)^2$ .

The proposition applies and we get a ring homomorphism

$$\bar{\varphi} : \mathbb{Z}[i]/(4) \rightarrow \mathbb{Z}_2$$

$$a+bi \bmod 4\mathbb{Z}[i] \mapsto a+b \bmod 2.$$

$$\begin{array}{ccc} & \pi & \\ \mathbb{Z}[i] & \xrightarrow{\quad} & \mathbb{Z}[i]/(4) \\ & \searrow \varphi & \downarrow \exists! \bar{\varphi} \\ & & \mathbb{Z}_2 \end{array}$$

Now for FIT for rings.

Let  $\varphi : R \rightarrow S$  a surjective ring homomorphism, then we have

$$\begin{array}{ccc} R & \xrightarrow{\quad} & R/\ker \varphi \\ & \searrow \varphi & \downarrow \exists! \bar{\varphi} \\ & & S \end{array}$$

$$\text{where } \begin{array}{ccc} \bar{\varphi} : R/\ker \varphi & \xrightarrow{\cong} & S \\ a + \ker \varphi & \mapsto & \varphi(a) \end{array}$$

Proof: We have

$$\begin{array}{ccc} R & \xrightarrow{\pi} & R/I \\ & \searrow & \downarrow \\ & & S \end{array}$$

from proposition, here take  $I = \ker \varphi$ .

By proposition above, we get a unique ring homomorphism

$$\bar{\varphi} : R/\ker \varphi \rightarrow S$$

why is it an isomorphism?

## ANT: RFI

1)  $\bar{\varphi}$  is surjective:

Let  $s \in S$ , take  $a \in R$  st  $\varphi(a) = s$ , possible as  $\varphi$  is surjective, also know

$$\bar{\varphi}(\bar{a}) = \varphi(a) = s$$

So  $s$  is in the image of  $\bar{\varphi}$ .

2)  $\bar{\varphi}$  is injective:

To show  $\ker(\bar{\varphi}) = \overline{R/\ker\varphi}$ .

$$\begin{aligned}\text{But } \ker(\bar{\varphi}) &= \left\{ \bar{a} \in R/\ker\varphi \mid \bar{\varphi}(\bar{a}) = \bar{0}_S \right\} \\ &= \left\{ \bar{a} \in R/\ker\varphi \mid \varphi(a) = 0_S \right\} \\ &= \left\{ \bar{a} \in R/\ker\varphi \mid \underbrace{a \in \ker\varphi}_{\bar{a} = \bar{0} \text{ in } R/\ker\varphi} \right\} \\ &= \left\{ \bar{0} \right\}\end{aligned}$$

Example: 1)

$$\begin{array}{ccc}\varphi : \mathbb{Z}[i] & \longrightarrow & \mathbb{Z}_2 \\ a+bi & \longmapsto & \frac{a+b}{a+b}\end{array}$$

is a ring homomorphism. It is also surjective

$$\begin{array}{l}\varphi(0) = \bar{0}, \\ \varphi(1) = \bar{1}.\end{array}$$

We know  $\ker\varphi = (-1+i)$

Hence FIT gives

$$\begin{array}{ccc}\mathbb{Z}[i]/(-1+i) & \xrightarrow{\cong} & \mathbb{Z}_2 \\ (a+bi) + (-1+i) & \xrightarrow{\mathbb{Z}[i]} & \frac{a+b}{a+b}.\end{array}$$

2)

$$\begin{array}{ccc}\varphi : \mathbb{Z}[i] & \longrightarrow & \mathbb{Z}_{37} \\ a+bi & \longmapsto & \frac{a+bi}{a+bi}\end{array}$$

is a ring homomorphism, with kernel  $(-6+i)\mathbb{Z}[i]$ .

$\varphi$  is also surjective, any class  $s \in \mathbb{Z}_{37}$  can be represented as  $s = \bar{a}$  for some  $0 \leq a \leq 37$ , then  $\varphi(a) = s$

$$FIT \Rightarrow \mathbb{Z}[i]/(-6+i) \cong \mathbb{Z}_{37}.$$

Binary operations on ideals.

Let  $I, J$  be ideals in a ring  $R$ . Then the following constructions also give ideals in  $R$ .

$$I \cap J = \{r \in R \mid r \in I \text{ and } r \in J\}$$

$$I + J = \{i + j \in R \mid i \in I, j \in J\}$$

$$I \circ J = \left\{ \sum_{k, \text{ finite}} i_k j_k \in R \mid i_k \in I, j_k \in J, \forall k \right\}$$

With the chain of inclusions:

$$I \cdot J \subset I \cap J \subset I \subset I + J$$

Example:  $R = \mathbb{Z}$ ,  $I = (6)_{\mathbb{Z}}$ ,  $J = (10)_{\mathbb{Z}}$

$$I \cdot J = (60)$$

$$I \cap J = (30)$$

$$I + J = \{k \cdot 6 + l \cdot 10 \mid k, l \in \mathbb{Z}\} = (2), \\ 2 \in I + J, \text{ with } k=2, l=-1.$$

$I + J$  is 'gcd'-like

$I \cap J$  is 'lcm'-like

$$I \cdot J = (60) \subset I \cap J = (30) \subset J = (10) \subset I + J = (2) \subset I = (6)$$

In terms of generators

Fact: Let  $R$  be a commutative ring with identity, then:

$$(a_1, \dots, a_n)_R + (b_1, \dots, b_m)_R = (a_1, \dots, a_n, b_1, \dots, b_m)_R$$

$$(a_1, \dots, a_n)_R \cdot (b_1, \dots, b_m)_R = (\text{all products } a_i b_j)_R$$

$$= (a_1 b_1, \dots, a_1 b_m, \dots, a_n b_1, \dots, a_n b_m)$$

Seen: Can sometimes reduce the number of generators in the (presentation of) an ideal.

$$(37, 6-i)_{\mathbb{Z}[i]} = (6-i)_{\mathbb{Z}[i]}$$

## ANT: RFI

Useful when applying  $+$ ,  $\circ$  to ideals.

Example: In  $\mathbb{Z}[\sqrt{-5}]$ ,  $I = (2, 3 + \sqrt{-5})$ ,  $J = (3, 1 - \sqrt{-5})$

Add:

$$I + J = (2, 3, 3 + \sqrt{-5}, 1 - \sqrt{-5})$$

See a unit  $\in I + J$ ,

$$1 \cdot 2 - 1 \cdot 3 + 0 \cdot (3 + \sqrt{-5}) + 0 \cdot (1 - \sqrt{-5}) = -1$$

Hence  $I + J = \mathbb{R}$ .

$$\text{Mult: } I \cdot J = (2 \cdot 3, 2 \cdot (1 - \sqrt{-5}), (3 + \sqrt{-5}) \cdot 3, (3 + \sqrt{-5})(1 - \sqrt{-5}))$$

$$= (6, 2 - 2\sqrt{-5}, 9 + 3\sqrt{-5}, 3 - 2\sqrt{-5} - (-5))$$

$$= (6, 2 - 2\sqrt{-5}, 9 + 3\sqrt{-5}, 8 - 2\sqrt{-5})$$

Can drop last as it is  $8 - 2\sqrt{-5} = 6 + (2 - 2\sqrt{-5})$

$$= (6, 2 - 2\sqrt{-5}, 9 + 3\sqrt{-5})$$

$$= (6, 2 - 2\sqrt{-5}, \underbrace{9 + 3\sqrt{-5}}_{\substack{\text{first generator} \\ \text{multiple of} \\ \text{first generator}}} - \underbrace{6}_{\substack{\text{multiple of} \\ \text{first generator}}})$$

$$3 - 3\sqrt{-5}$$

$$= (6, 2 - 2\sqrt{-5}, 3 - 3\sqrt{-5})$$

$$= (6, 2 - 2\sqrt{-5}, \underbrace{3 - 3\sqrt{-5}}_{\substack{\text{subtract second generator}}} - (2 - 2\sqrt{-5}))$$

subtract second generator

$$= (6, 2 - 2\sqrt{-5}, 1 - \sqrt{-5})$$

$$= (6, 1 - \sqrt{-5})$$

since second generator was a multiple of third one.

$$= (1 - \sqrt{-5})$$

since  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5})$  is a multiple of  $1 - \sqrt{-5}$

$$\text{Upshot: } (2, 3 + \sqrt{-5}) \circ (3, 1 - \sqrt{-5}) = (1 - \sqrt{-5})$$

Remark: 1) Suppose  $R$  has an identity  $1$ , then

$$\begin{aligned} I + J = R = (1) &\Leftrightarrow 1 \in I + J \\ &\Leftrightarrow 1 = i + j, \text{ for some } i \in I \\ &\quad j \in J. \end{aligned}$$

Then  $I$  and  $J$  are coprime, 'gcd' of  $I$  and  $J = 1$ .

2) Suppose furthermore that  $R$  is commutative, then if  $I + J = R$ , then  $I \circ J = I \cap J$ .

To show " $\subset$ :

Take any  $a \in I \circ J$ , by 1) we can write  
 $1 = i + j$ , so

$$\begin{aligned} a &= a \cdot i + a \cdot j \\ &\stackrel{R \text{ commutative}}{=} i \cdot a + a \cdot j \end{aligned}$$

But  $i \cdot a \in I$ ,  $a \cdot j \in (I \cdot J) \cdot j \subset I$ , so  
 $i \cdot a + a \cdot j \in I$

Also  $a \cdot j \in J$ ,  $i \cdot a \in i \cdot (I \cdot J) \subset J$ , so  
 $i \cdot a + a \cdot j \in J$ .

Conclusion  $a = i \cdot a + a \cdot j \in I \cap J$ , as both summands are, and clearly  $I \cap J$  is itself an ideal.

" $\supset$ :

Take  $a \in I \cap J$ , need to show  $a \in I \circ J$ , since

$1 = i + j$ , multiply by  $a$  to get

$$\begin{aligned} a &= ia + ja \\ &= ia + aj \\ &\quad \uparrow \quad \uparrow \\ &\in I \cdot J \in J \\ &\in I \cdot J \end{aligned}$$

## ANT: RFI

Recall Chinese remainder theorem in  $\mathbb{Z}$ :

If  $m, n \in \mathbb{Z}$  with  $\gcd(m, n) = 1$ , and  $a, b \in \mathbb{Z}$   
then we can find  $c \in \mathbb{Z}$  st:

$$\begin{aligned} c &\equiv a \pmod{m} \\ c &\equiv b \pmod{n} \end{aligned}$$

In formulas:  $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$

More generally: get analogue:

Theorem: (Chinese remainder Theorem for Rings)

Let  $I, J$  be ideals in a ring  $R$ , with  $I+J=R$ ,  
( $I, J$  coprime), then:

$$R/I \cap J \cong R/I \times R/J.$$

via:  $a + (I \cap J) \mapsto (a+I, a+J)$ , think  
 $\bar{a} \mapsto (\bar{a}, \bar{a})$

If, moreover,  $R$  is commutative with identity, then

$$R/I \cdot J \cong R/I \times R/J.$$

Proof: Put  $S := R/I \times R/J$

Try to define  $\varphi: R \rightarrow S$  a surjective ring homomorphism,  
for FIT.

Indeed put  $\varphi(a) = (a+I, a+J)$

1)  $\varphi$  is a homomorphism of rings:

$$\varphi(a+b) = ((a+b)+I, (a+b)+J)$$

$$\varphi(a) + \varphi(b) = (a+I, a+J) + (b+I, b+J)$$

$$\begin{aligned} &= (\underbrace{(a+I)+(b+I)}, \underbrace{(a+J)+(b+J)}) \\ &= ((a+b)+I, (a+b)+J) \end{aligned}$$

Similarly for multiplication?

$$\varphi(ab) = (ab + I, ab + J)$$

$$\varphi(a)\varphi(b) = (a + I, a + J), (b + I, b + J)$$

$$= ((a + I) \cdot (b + I), (a + J) \cdot (b + J))$$

$$= (ab + aI + Ib + I^2, ab + aJ + Jb + J^2)$$

$$= (ab + I, ab + J)$$

$$2) \text{ Ker } \varphi = \{a \in R \mid (a + I, a + J) = (0_{R/I}, 0_{R/J})\}$$

$$= \{a \in R \mid a \in I, a \in J\}$$

$$= I \cap J$$

3)  $\varphi$  surjective?

Let  $x \in R/I$ ,  $y \in R/J$ , take  $b \in R$ ,  $c \in R$ , st  
 $b + I = xc$ ,  $c + J = y$ . Find  $a \in R$  st

$$\varphi(a) = (b + I, c + J).$$

Idea:

$$a = i + j, \quad i \in I, j \in J.$$

Multiply on both sides:

$$\begin{aligned} b &= ib + jb \\ c &= ic + jc \end{aligned}$$

Claim:  $a := ic + jb$  does it.

$$\varphi(a) = \varphi(ic + jb)$$

$$= (\underbrace{ic + jb + I}_{I}, \underbrace{ic + jb + J}_{J})$$

$$= (jb + I, ic + J)$$

$$= (jb + ib + I, ic + jc + J)$$

$$= (b + I, c + J)$$

Conclusion: FIT now gives:

ANT: R/I

$$R/\ker \phi = R/I \cap J \xrightarrow{\cong} R/I \times R/J$$

$$a + I \cap J \mapsto (a+I, a+J)$$

Moreover, for  $R$  commutative with identity, and  $I+J=R$ , we have

$$R/I \cap J = R/I \cdot J.$$

Important remark: If  $I+J=R$ , commutative with identity, then write

$i = i+j$ , multiply and take 'gross sum'.

$$\begin{aligned} b &= ib + jb \\ c &= ic + jc \end{aligned}$$

$$ic + jb + I \cdot J \mapsto (b+I, c+J)$$

Example:  $R = \mathbb{Z}$ ,  $I = (m)$ ,  $J = (n)$ .

If  $I+J=R$ , then  $I+J=(\gcd(m,n))$  contains

$$\mathbb{Z}/(mn) \cong \mathbb{Z}/(m) \times \mathbb{Z}/(n)$$

via  $a \bmod mn \mapsto (a \bmod m, a \bmod n)$

Preimage of  $(b \bmod m, c \bmod n)$  as before, cross sum.

$$\begin{aligned} a &= xm + yn, \quad x, y \in \mathbb{Z} \\ b &= bxm + byn \\ c &= cxm + cyn \end{aligned}$$

Preimage is  $a = byn + cxm$ .

Example:  $R = \mathbb{Z}[i]$ ,  $I = (2+i)_R$ ,  $J = (3+i)_R$ ,  $i^2 = -1$

$$\text{Write } a = -(2+i) + (3+i) \quad (*)$$

$R$  is commutative with identity, hence  $I \cap J = I \cdot J$ , have

$$\begin{aligned} I \cdot J &= ((2+i)(3+i))_R \\ &= (5+5i)_R \end{aligned}$$

CRT now implies:

$$\mathbb{Z}[i]/(5+5i)_{\mathbb{Z}[i]} \xrightarrow{\cong} \mathbb{Z}[i]/(2+i) \times \mathbb{Z}[i]/(3+i)$$

$$\text{Exercise : } \mathbb{Z}[i]/(2+i) \times \mathbb{Z}[i]/(3+i) \cong \mathbb{Z}_5 \times \mathbb{Z}_{10}$$

Given the element  $(3+\mathcal{I}, 2+\mathcal{J})$ , what is its preimage?

$$(*) \Rightarrow 3 = -(2+i)3 + (3+i)3 \\ 2 = -(2+i)2 + (3+i)2$$

$$\text{Claim : } \underbrace{-(2+i) \cdot 2}_{5+i} + \underbrace{(3+i)3}_{(5+i)} + \underbrace{\mathcal{I} \cdot \mathcal{J}}_{(5+5i)} = -4i + (5+5i)$$

maps to  $(3+\mathcal{I}, 2+\mathcal{J})$ .

Check :

$$\begin{aligned} -4i + \mathcal{I} &= 3 + \mathcal{I} \\ -4i + \mathcal{J} &= 2 + \mathcal{J} \end{aligned}$$

To show :

$$\begin{aligned} 3 - (-4i) &\in \mathcal{I} \\ 2 - (-4i) &\in \mathcal{J} \end{aligned}$$

But :

$$\begin{aligned} 3 + 4i &= (2+i)(2+i) \quad \checkmark \\ 2 + 4i &= (1+i)(3+i) \quad \checkmark \end{aligned}$$

Remark : A similar technique works for  $R = F[x]$ , where  $F$  is a field.

Take  $f(x), g(x) \in F[x]$ , non-zero polynomials and  $\mathcal{I} = (f(x))$ ,  $\mathcal{J} = (g(x))$  such that  $\mathcal{I} + \mathcal{J} = F[x]$ .

i.e.

$$1 = A(x)f(x) + B(x)g(x),$$

for some  $A(x), B(x) \in F[x]$ .

CRT gives then

$$F[x]/(f(x)g(x)) \xrightarrow{\cong} F[x]/(f(x)) \times F[x]/(g(x))$$

[Note :  $F[x]$  is commutative with identity]

The preimage of  $(a(x)+\mathcal{I}, b(x)+\mathcal{J})$  is again given by forming :

$$\begin{aligned} a(x) &= \underbrace{a(x)A(x)f(x)}_{b(x)A(x)f(x)} + \underbrace{a(x)B(x)g(x)}_{b(x)B(x)g(x)} \\ b(x) &= \end{aligned}$$

## ANT: RFI

$$\text{as } b(x)A(x)f(x) + a(x)B(x)g(x) + I \cdot J$$

Example:  $R = \mathbb{R}[x]$ ,  $I = (x^2+2)$ ,  $J = (x+1)$ ,  
then use division with remainder

$$x^2 + 2 = (x-1)(x+1) + 3$$

so

$$I = \frac{1}{3}(x^2+2) + \frac{(1-x)}{3}(x+1)$$

CRT gives:

$$\mathbb{R}[x]/((x^2+2)(x+1)) \xrightarrow{\cong} \mathbb{R}[x]/(x^2+2) \times \mathbb{R}[x]/(x+1)$$

Prime ideals and maximal ideals. 'Building blocks' of ideals, prime ideals.

Definition: let  $R$  be a ring commutative with identity,  $1 \neq 0$ , and let  $I$  be an ideal, then

1)  $I$  is a prime ideal if  $I \neq R$ , and  $a \cdot b \in I \Rightarrow a \in I$  or  $b \in I$ .

2)  $I$  is a maximal ideal if  $I \neq R$ , and if  $J \subseteq R$  is an ideal such that

$$I \subseteq J \subseteq R$$

then either  $J = I$  or  $J = R$ . ("we cannot squeeze in an ideal between  $I$  and  $R$ ".)

Example:  $R = \mathbb{Z}$ ; all ideals are of the type  $(n)$ , where  $n \geq 0$ .

1)  $n = 0$ :  $(0)$  is prime.

$$\begin{aligned} a \cdot b = 0 &\Rightarrow a = 0 \text{ or } b = 0 \\ \Leftrightarrow ab \in (0) &\Rightarrow a \in (0) \text{ or } b \in (0) \end{aligned}$$

2)  $n = 1$ :  $(1) = R$  is neither prime nor maximal.

3)  $n \geq 2$ : If  $n = p$  prime, then  $(n)$  is a prime ideal and also a maximal ideal.

$(n)$  is prime: Suppose  $a \cdot b \in (n)$ , i.e.  $ab = nk$  for

Some  $k \in \mathbb{Z}$ .

Then  $n \mid ab$  and since  $n$  is prime, get  $n \mid a$  or  $n \mid b$ .

Hence  $(n) \supset (a)$  or  $(n) \supset (b)$ , i.e.  
 $(n) \ni a$  or  $(n) \ni b$ .

$(n)$  is maximal: (as well)

Assume  $(n) \subset J \subset \mathbb{Z}$ , for some ideal  $J$ .

Then  $J = (m)$  for some  $m \in \mathbb{Z}_{>0}$ .

But  $(n) \subset (m) \Leftrightarrow m \mid n$   
 $\Leftrightarrow m=1$  or  $m=n$ ,  $n$  prime

Case  $m=1$ :  $(m) = (1) = R = \mathbb{Z}$ .

Case  $m=n$ :  $(m) = (n)$ , i.e.  $I = J$ .

4)  $n \geq 2$ : If  $n$  is not prime, write  $n = a \cdot b$  with  $1 < a < n$ ,  $1 < b < n$ .

Then  $n \nmid a$ ,  $n \nmid b$ , but  $n \mid ab$ .

$\Rightarrow (n)$  cannot be prime.

Moreover  $(n)$  cannot be maximal, e.g.

$$(n) \subset (a) \subset \mathbb{Z}$$

and  $(n) \neq (a)$ , and  $(a) \neq \mathbb{Z}$ .

Conclusion:  $\{\text{prime ideals in } \mathbb{Z}\} = \{(0)\} \cup \{(p) \mid p \text{ prime number in } \mathbb{Z}\}$   
 $\{\text{maximal ideals in } \mathbb{Z}\} = \{(p) \mid p \text{ prime number in } \mathbb{Z}\}$

Exercise: For a field  $F$ , the maximal ideals in  $F[x]$  are  $(f(x))$ , where  $f(x)$  is irreducible in  $F[x]$ , while the prime ideals are the same together with  $(0)_{F[x]}$ .

Theorem: Let  $R$  be a commutative ring with identity  $1 \neq 0$ , and  $I \subset R$  an ideal, then

- 1)  $I$  is a prime ideal in  $R \Leftrightarrow R/I$  is an integral domain.
- 2)  $I$  is a maximal ideal in  $R \Leftrightarrow R/I$  is a field.

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**Corollary:** A maximal ideal in a ring  $R$  is in particular a prime ideal.

**Proof:** Recall that a field is in particular an integral domain, now use 1) and 2).

**Proof:** (Theorem)

$$1) \quad a \cdot b \in I \xrightarrow{I \text{ prime}} a \in I \text{ or } b \in I$$

$$\begin{array}{c} \Updownarrow \\ ab + I = I \\ \text{in } R/I \end{array}$$

$$\begin{array}{c} \Downarrow \\ \bar{a} \cdot \bar{b} = \bar{0} \xrightarrow{\substack{R/I \text{ integral} \\ \text{domain}}} \bar{a} = \bar{0} \text{ or } \bar{b} = \bar{0} \text{ in } R/I \end{array}$$

$$2) \quad I \text{ maximal ideal} \Rightarrow R/I \text{ a field.}$$

Show inverses exist for non-zero elements.

Let  $a \in R - I$ , then  $\bar{a} = a + I \neq \bar{0}$  in  $R/I$ .

Then  $(a) + I = R$  [  $I \subset (a) + I \subset R$ , and  $I \neq (a) + I$ , maximality of  $I$  implies  $(a) + I = R$  ]

Hence  $1 = r \cdot a + i$ , for some  $r \in R, i \in I$

But then  $\bar{r} \cdot \bar{a} = \bar{1}$  in  $R/I$ , so  $\bar{a}$  has an inverse. ✓

$R/I$  a field  $\Rightarrow I$  maximal ideal

To show:  $J \supset I$  and  $J \neq I$  implies  $J = R$ .

Assume  $J \supset I$ , and  $J \neq I$ , then  $\exists a \in J - I$ , hence  $a + I \neq I$ , so  $a + I$  has inverse  $r + I$  in  $R/I$ , so  $(a + I)(r + I) = I + I \Rightarrow ar - 1 \in I$

But then  $1 = \underbrace{ar}_{\in J} - (\underbrace{ar - 1}_{\in I \subset J}) \in J$

Example : 1)  $\mathbb{Z}[i]/(-1+i)$  is a field.

Proof : Seen :  $\mathbb{Z}[i] \rightarrow \mathbb{Z}_2$   
 $a+bi \mapsto \frac{a+b}{a+b}$

gives via FIT, checking surjectivity

$$\mathbb{Z}[i]/(-1+i) \cong \mathbb{Z}_2$$

and  $\mathbb{Z}_2$  is a field (any  $\mathbb{Z}_p$  with  $p$  prime is)

By theorem above, get  $(-1+i)\mathbb{Z}[i]$  is a maximal ideal.

2) In  $\mathbb{Q}[x]$ ,  $x^3 + 7x - 14$  is irreducible,  
(Eisenstein's criterion for prime 7)

So  $(x^3 + 7x - 14)$  is a maximal ideal in  $\mathbb{Q}[x]$ ,

$\mathbb{Q}[x]/(x^3 + 7x - 14)$  is a field.

A "number field".

Note : in this quotient,  $f(x) = x^3 + 7x - 14$  has a root.